

## Lecture 40

### Identical particles

Last time

To get the correct probabilistic interpretation of quantum mechanics there must be a 1-1 correspondence between physical states and rays in the Hilbert space

Let  $T_{ij}$  be the operator that interchanges particles  $i$  and  $j$ . We showed

$$T_{ij}^2 = I \quad T_{ij} = T_{ij}^+$$

If  $i$  and  $j$  are identical particles then the correspondence requires

$$\tau_{ij} |\phi\rangle = |\phi\rangle e^{i\phi}$$

$$\tau_{ij}^2 |\phi\rangle = |\phi\rangle = |\phi\rangle e^{2i\phi}$$

This requires  $\phi = 0, \pi$  which means

$$\tau_{ij} |\phi\rangle = \pm |\phi\rangle$$

We found a contradiction if in a given physical state some  $\tau_{ij}$  had  $+1$  eigenvalues and others had  $-1$  eigenvalues

∴ A state representing a system of identical particles must be either symmetric or antisymmetric with respect to interchanging identical particles

Symmetrization postulate

states of half integer  
spin identical particles  
are antisymmetric  
(Fermions)

states of integer spin  
identical particles are  
symmetric (Bosons)

Permutation group

$$i \neq j \quad \sigma(i) \neq \sigma(j)$$

$$1 \rightarrow \sigma(1)$$

$$2 \rightarrow \sigma(2)$$

$$\vdots$$

$$N \rightarrow \sigma(N)$$

Properties

- ①  $N!$ : permutations  
for  $N$  objects  
(particles)

(2) products

$$\sigma_2 \cdot \sigma_1(i) = \sigma_2(\sigma_1(i))$$

(3) every permutation  
is the product of  
pairwise transpositions

(4) every permutation  
is a product of  
either an even or  
odd # of transpositions

(5)  $|\sigma| = 1$  even # transpositions  
 $|\sigma| = -1$  odd # transpositions

(6)  $\sigma_i \sigma_j \neq \sigma_j \sigma_i$

The product of permutations  
does not generally  
commute

⑦ The inverse of a permutation is the product of the transpositions in reverse order

$$\text{e.g. } |\sigma| = |\sigma^{-1}|$$

$$\text{⑧ } \sum_{\sigma} f(\sigma) = \sum_{\sigma} f(\sigma^{-1}(\sigma))$$

⑨ Let  $\sigma_i =$  even permutation  
pick  $\sigma_r \Rightarrow \sigma_r \sigma_i$  are  
odd permutation  $\Rightarrow$

$\frac{N!}{2}$  even permutations

$\frac{N!}{2}$  odd permutations

Projectors on symmetric  
 or antisymmetric subspace  
 of  $N$  particle Hilbert space

$P_\sigma$  = permutation operator

$P(N)$  = set of permutations  
 on  $N$  objects

$$S \equiv \frac{1}{N!} \sum_{\sigma \in P(N)} P_\sigma$$

$$A = \frac{1}{N!} \sum_{\sigma \in P(N)} (-1)^{|\sigma|} P_\sigma$$

Note

$$S^2 = \frac{1}{(N!)^2} \sum_{\sigma, \sigma' \in P(N)} P_\sigma P_{\sigma'} =$$

$$= \frac{1}{(N!)^2} \sum_{\sigma, \sigma' \in P(N)} P_{\sigma\sigma'}$$

$$\text{Let } \sigma'' = \sigma\sigma' \quad \sum_{\sigma'} = \sum_{\sigma''} \Rightarrow$$

$$= \frac{1}{(N!)^2} \sum_{\sigma} \left( \sum_{\sigma'' \in P(N)} P_{\sigma''} \right) =$$

$$\frac{1}{N!} \sum_{\sigma} \cdot S = \frac{N!}{N!} S = S$$

$$\therefore S^2 = S$$

Also not

$$S^{\dagger} = \frac{1}{N!} \sum_{\sigma \in \mathcal{P}(N)} P_{\sigma}^{\dagger} =$$

$$\frac{1}{N!} \sum_{\sigma \in \mathcal{P}(N)} P_{\sigma^{-1}} =$$

$$\text{Let } \sigma'' = \sigma^{-1}$$

$$\frac{1}{N!} \sum_{\sigma'' \in \mathcal{P}(N)} P_{\sigma''} = S$$

$$\therefore S = S^{\dagger}$$

similarly

$$A^2 = \frac{1}{(N!)^2} \sum_{\sigma \sigma'} (-)^{|\sigma|+|\sigma'|} P_{\sigma} P_{\sigma'} =$$

$$A^2 = \frac{1}{(N!)^2} \sum_{\sigma' \sigma} (-)^{|\sigma' \sigma|} P_{\sigma \sigma'}$$

$$\text{Let } \sigma'' = \sigma \sigma' \quad (-)^{|\sigma''|} = (-)^{|\sigma|+|\sigma'|}$$

$$= \frac{1}{(N!)^2} \sum_{\sigma} \sum_{\sigma''} (-1)^{|\sigma''|} P_{\sigma''}$$

$$= \frac{1}{N!} \sum_{\sigma} A = \frac{N!}{N!} A$$

similarly  $A = A^t$  uses

the same argument used  
for  $S$  with  $|\bar{\sigma}'| = |\sigma|$

since they both have the  
same # of transpositions

Finally not

$$AS = \frac{1}{(N!)^2} \sum (-1)^{|\sigma|} P_{\sigma} P_{\sigma'} =$$

$$\frac{1}{(N!)^2} \sum (-1)^{|\sigma|} P_{\sigma\sigma'} \quad \text{let } \sigma'' = \sigma\sigma'$$

$$\frac{1}{(N!)^2} \sum (-1)^{|\sigma|} \frac{1}{N!} \sum_{\sigma''} P_{\sigma''} =$$

$$\frac{1}{N!} \sum (-1)^{|\sigma|} S$$



but  $\sum_i (-1)^{|i|} = 0$  because  
there are  $\frac{N!}{2}$  even and  
 $\frac{N!}{2}$  odd permutations.

$\therefore$   $S$  and  $A$  are orthogonal  
projection operators

If  $H$  is a Hamiltonian  
for a system of identical  
particles

$$[S, H] = [A, H] = 0$$

the subspaces do not  
mix - it has symmetric  
and antisymmetric

eigenstates, but only  
one is physically relevant  
depending on the spin  
of the particles

Normalize

$$|\psi\rangle \rightarrow A|\psi\rangle \text{ or } S|\psi\rangle$$

If  $|\psi\rangle$  is not symmetric

or antisymmetric

$$\begin{aligned} |\hat{\psi}\rangle &= \frac{S|\psi\rangle}{\langle\psi|S^{\dagger}S|\psi\rangle^{1/2}} = \frac{S|\psi\rangle}{\langle\psi|S^2|\psi\rangle^{1/2}} \\ &= \frac{S|\psi\rangle}{\langle\psi|S|\psi\rangle^{1/2}} \end{aligned}$$

similarly

$$|\hat{\psi}\rangle = \frac{A|\psi\rangle}{\langle\psi|A^{\dagger}A|\psi\rangle^{1/2}}$$

Also note

$$\langle\phi|H|S\psi\rangle =$$

$$\langle\phi|H|S^2\psi\rangle =$$

$$\langle\phi|S^{\dagger}HS|\psi\rangle =$$

$$\langle\phi|S^{\dagger}HS|\psi\rangle$$

similarly,

$$\langle\phi|H|A\psi\rangle =$$

$$\langle\phi|A^{\dagger}HA|\psi\rangle$$

which means that it is sufficient to symmetrize or antisymmetrize only the initial or final states (although they must be normalized.)

Occupation # representation

- ① start with an orthonormal basis of single particle states

$$\{|\phi_n\rangle\}_{n=1}^{\infty}$$

$$\langle\phi_n|\phi_m\rangle = \delta_{nm}$$

- ② products in  $N$  such states are a basis for the  $N$  particle Hilbert space

$$|\psi\rangle = \sum c_{n_1, n_2} |\Phi_{n_1}, \Phi_{n_2}\rangle$$

③ When the particles are identical all we know (up to scale) is how many particles are in state 1, state 2, ...

④ introduce a new representation called the occupation # representation

$$|m_1, m_2, \dots, m_\infty\rangle$$

$m_1$  identical particles  
in state  $|\Phi_1\rangle$

$m_2$  identical particles  
in state  $|\Phi_2\rangle$

⋮

$m_n$  identical particles  
in state  $|p_n\rangle$

$$1 \leq n \leq \infty$$

This representation can  
be used to describe systems  
with any number of  
identical particles

Note normally all but  
a finite number of the  
 $m_i$  are 0

$$N = \sum_{k=1}^{\infty} m_k$$

For Fermions:  $m_k = 0$  or  $1$

For Bosons:  $m_k$  can be  
any non-negative integer

In order to use this representation,  
we define

$$|0\rangle = |000 \dots\rangle$$

the state consisting of  
no particles. We also  
define

$$\langle 0|0\rangle \equiv 1$$

Next we define non  
Hermitian operators

$a_n$   $a_n^\dagger$  for Bosons

$b_n$   $b_n^\dagger$  for Fermions

$a_n^\dagger$  adds 1 particle  
in state  $|a_n\rangle$

$a_n$  removes 1 particle  
in state  $|a_n\rangle$

$b_n^+$  add 1 Fermion in  
state  $|\Phi_n\rangle$

$b_n$  removes 1 Fermion in  
state  $|\Phi_n\rangle$

We require

$$[a_n, a_m^+] = \delta_{nm}$$

$$\{b_n, b_m^+\} = \delta_{nm}$$

$$[a_n, a_m] = [a_n^+, a_m^+] = 0$$

$$\{b_n, b_m\} = \{b_n^+, b_m^+\} = 0$$

For Fermions:

$$b_n^+ b_m^+ = -b_m^+ b_n^+$$

so interchanging identical  
particles changes the sign

of the state an

$$b_n^+ b_n^+ = -b_n^+ b_n^+ = 0$$

so we cannot have 2 fermions in the same single particle state

ordering convention

$$(a_1^\dagger)^{m_1} (a_2^\dagger)^{m_2} \dots |0\rangle \equiv |m_1, m_2, m_3, \dots\rangle > N$$

$$(b_1^\dagger)^{m_1} (b_2^\dagger)^{m_2} \dots |0\rangle \\ |m_1, m_2, m_3, \dots\rangle > N$$

where  $N$  is a normalization constant that must be determined

In order to determine the normalization note



$$N_m \equiv a_m^\dagger a_m$$

$$\begin{aligned} [N_m, a_m] &= a_m^\dagger a_m a_m - a_m a_m^\dagger a_m \\ &= [a_m^\dagger, a_m] a_m \\ &= -a_m \end{aligned}$$

$$\begin{aligned} [N_m, a_m^\dagger] &= a_m^\dagger a_m a_m^\dagger - a_m^\dagger a_m^\dagger a_m \\ &= a_m^\dagger [a_m, a_m^\dagger] \\ &= a_m^\dagger \end{aligned}$$

$$N_m \equiv b_m^\dagger b_m$$

$$\begin{aligned} [N_m, b_m] &= b_m^\dagger b_m b_m - b_m b_m^\dagger b_m \\ &= \{b_m^\dagger, b_m\} b_m \\ &= b_m \end{aligned}$$

$$\begin{aligned} [N_m, b_m^\dagger] &= b_m^\dagger b_m b_m^\dagger - b_m^\dagger b_m^\dagger b_m \\ &= b_m^\dagger \{b_m, b_m^\dagger\} \\ &= b_m^\dagger \end{aligned}$$

consider

$$N \binom{a^\dagger}{R}^m |0\rangle =$$

$$N \binom{a^\dagger}{R} \binom{a^\dagger}{R}^{m-1} |0\rangle$$

$$a^\dagger \binom{a^\dagger}{R}^{m-1} |0\rangle$$

$$\binom{a^\dagger}{R}^2 \binom{a^\dagger}{R}^{m-2} |0\rangle \dots$$

$$\binom{a^\dagger}{R}^n \binom{0 \text{ or } 1}{R} |0\rangle$$

$$N \binom{a^\dagger}{R}^m |0\rangle = m \binom{a^\dagger}{R}^m |0\rangle$$

can also be done by  
induction - assume

$$N \binom{a^\dagger}{R}^n |0\rangle = n \binom{a^\dagger}{R}^n |0\rangle$$

$$N \binom{a^\dagger}{R}^{n+1} |0\rangle =$$

$$(N \binom{a^\dagger}{R} \binom{a^\dagger}{R}^n - \binom{a^\dagger}{R}^n N) \binom{a^\dagger}{R} |0\rangle$$

$$a^\dagger (1+n) \binom{a^\dagger}{R}^n |0\rangle =$$

$$(n+1) \binom{a^\dagger}{R}^{n+1} |0\rangle$$

similarly for  $b_k$

$$b_k^\dagger |0\rangle = |1k\rangle$$

$$b_k^\dagger |1k\rangle = 0$$

Normalization

$$a_k^\dagger |m_k\rangle = |m_k+1\rangle c$$

$$c^2 = \langle m_k | a_k a_k^\dagger |m_k\rangle$$

$$= \langle m_k | a_k a_k^\dagger - a_k^\dagger a_k + a_k^\dagger a_k |m_k\rangle$$

$$= \langle m_k | (1 + N_k) |m_k\rangle$$

$$= (m_k+1) \langle m_k | m_k \rangle$$

$$= m_k+1$$

$$\therefore c = \sqrt{m_k+1}$$

$$a_k^\dagger |m_k\rangle = |m_k+1\rangle \sqrt{m_k+1}$$

$$(a_k^\dagger)^n |0\rangle = \sqrt{n!} |n_k\rangle$$

$$|m_1 \dots m_n \dots \rangle =$$

$$\prod \frac{(a_n^\dagger)^{m_n}}{\sqrt{m_n!}} |0\rangle$$

we can do the same  
for fermions - we only

$$\text{have } m_n = 1 \text{ or } 0 \text{ by 1}$$

we get

$$\prod \frac{(b_n^\dagger)^{m_n}}{\sqrt{m_n!}} |0\rangle$$

although in this case

$$\prod \frac{(b_n^\dagger)^{r_n}}{\sqrt{r_n!}} = \frac{(b_1^\dagger)^{r_1}}{\sqrt{r_1!}} \frac{(b_2^\dagger)^{r_2}}{\sqrt{r_2!}} \dots |0\rangle$$

where the order matters

convention

$$|m_1, \dots\rangle = \frac{(a_1^\dagger)^{m_1}}{\sqrt{m_1!}} \frac{(a_2^\dagger)^{m_2}}{\sqrt{m_2!}} \dots |0\rangle$$

$$\langle m_1, m_2 | = \langle 0 | \dots \frac{(a_2)^{m_2}}{\sqrt{m_2!}} \frac{(a_1)^{m_1}}{\sqrt{m_1!}}$$

$$|m_1\rangle = \frac{(b_1^\dagger)^{m_1}}{\sqrt{m_1!}} \frac{(b_2^\dagger)^{m_2}}{\sqrt{m_2!}} \dots |0\rangle$$

$$\langle m_1, m_2 | = \langle 0 | \dots \frac{(b_2)^{m_2}}{\sqrt{m_2!}} \frac{(b_1)^{m_1}}{\sqrt{m_1!}}$$

Operators

1 Body operators

$$\hat{O} = \sum_{mn} a_m^\dagger \langle m | \hat{O} | n \rangle a_n$$

$$\langle m | \hat{O} | n \rangle =$$

$$\int \phi_m^*(\vec{r}) \langle \vec{r} | \hat{O} | \vec{r}' \rangle \phi_n(\vec{r}') d^3r d^3r'$$

consider

$$\langle 1, 2_2 | \mathcal{Q} | 2_3 | 2 \rangle$$

$$\sum_{mn} \langle 0 | \frac{(a_2)^2}{\sqrt{2!}} \frac{a_1}{\sqrt{1!}} a_m^\dagger \langle m | \mathcal{Q} | n \rangle a_n \times \frac{(a_3^\dagger)^1}{\sqrt{2!}} \frac{a_2^\dagger}{\sqrt{1!}} | 0 \rangle$$

remark

$$a_n (a_n^\dagger)^m = m (a_n^\dagger)^{m-1}$$

$$(a_n^\dagger)^m a_n = m (a_n^\dagger)^{m-1}$$

this matrix element

$$\langle 0 | \frac{a_2 a_1}{\sqrt{2!}} 2 \langle 2 | \mathcal{Q} | 2 \rangle \frac{(a_3^\dagger)^2}{\sqrt{2!}} | 0 \rangle +$$

$$\langle 0 | \frac{(a_2)^2}{\sqrt{2}} 1 \langle 1 | \mathcal{Q} | 2 \rangle \frac{(a_3^\dagger)^2}{\sqrt{2!}} | 0 \rangle +$$

$$\langle 0 | \frac{a_2 a_1}{\sqrt{2}} 2 \langle 2 | \mathcal{Q} | 3 \rangle \frac{2}{\sqrt{2!}} a_3^\dagger a_1^\dagger | 0 \rangle$$

$$\langle 0 | \frac{(a_2)^1}{\sqrt{2}} 1 \langle 1 | \mathcal{Q} | 3 \rangle \frac{2}{\sqrt{2}} a_3^\dagger a_1^\dagger | 0 \rangle$$

This vanishes - to  
 get something non zero  
 all states have to be  
 the same except  
 one initial and one  
 final state

$$\langle 0 | a_3 a_2 a_1 \sum_n a_n^\dagger \langle n | \alpha | m \rangle a_m a_1^\dagger a_2^\dagger a_3^\dagger \rangle$$

$$\langle 1 | \alpha | 1 \rangle + \langle 2 | \alpha | 2 \rangle + \langle 3 | \alpha | 3 \rangle$$

which gives the sum  
 of all 3 single particle  
 matrix elements

$$\langle 0 | a_3^2 a_2 a_1 \sum_n a_n^\dagger \langle n | \alpha | m \rangle a_1 a_2 \frac{a_3}{\sqrt{2}} \rangle$$

$$= \frac{1}{2} \cdot 2 \cdot 2 \langle 3 | \alpha | 3 \rangle + \langle 1 | \alpha | 1 \rangle + \langle 2 | \alpha | 2 \rangle$$

$$= 2 \langle 3 | \alpha | 3 \rangle + \langle 1 | \alpha | 1 \rangle + \langle 2 | \alpha | 2 \rangle$$

Next we define 2 body operators - first note

$$|n_1 n_2\rangle = \frac{1}{\sqrt{2}} (|n_1\rangle |n_2\rangle + |n_2 n_1\rangle)$$

for bosons

$$|n_1 n_2\rangle = \frac{1}{\sqrt{2}} (|n_1\rangle |n_2\rangle - |n_2 n_1\rangle)$$

for fermions

$$\langle n_1 n_2 | V | n_1 n_2 \rangle =$$

$$\frac{1}{2} (\langle n_1 n_2 | \pm \langle n_2 n_1 |) V (|n_1\rangle |n_2\rangle \pm |n_2 n_1\rangle)$$

We define

$$\hat{V} = \frac{1}{2} \sum a_{n_1}^{\dagger} a_{n_2}^{\dagger} \langle n_1 n_2 | V | m_1 m_2 \rangle a_{m_2} a_{m_1}$$



consider the expectation value of this operator in a 2 particle state

$$\langle 0 | a_2 a_1 V | a_1^\dagger a_2^\dagger | 0 \rangle =$$

$$\frac{1}{2} \sum \langle 0 | a_2 a_1 a_n^\dagger a_m^\dagger \langle n_1 n_2 | V | m_1 m_2 \rangle$$

$$a_{m_2} a_{m_1} | a_1^\dagger a_2^\dagger | 0 \rangle =$$

$$\frac{1}{2} \langle 12 | V | 12 \rangle +$$

$$\frac{1}{2} \langle 21 | V | 21 \rangle +$$

$$\frac{1}{2} \langle 12 | V | 21 \rangle +$$

$$\frac{1}{2} \langle 21 | V | 12 \rangle =$$

$$\langle 12 | V | 12 \rangle + \langle 12 | V | 21 \rangle$$

since

$$\langle 12 | V | 12 \rangle = \langle 21 | V | 21 \rangle$$

$$\langle 12 | V | 21 \rangle = \langle 21 | V | 12 \rangle$$

If these were fermions  
the  $\langle 12 | V | 21 \rangle$  and  $\langle 21 | V | 12 \rangle$   
terms would have -  
signs