

CMS Hadronic EndCap Calorimeter Upgrade Studies for SLHC “Čerenkov Light Collection From Quartz Plates”

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Abstract—Due to an expected increase in radiation damage under super-LHC conditions, we propose to substitute the scintillator tiles in the original design of the hadronic endcap (HE) calorimeter with quartz plates. Quartz is shown to be radiation hard. Using wavelength shifting fibers, it is possible to collect efficiently the Čerenkov light generated in quartz plates. This paper summarizes the results from various test beams, bench tests, and Geant4 simulations done on methods of collecting light from quartz plates, as well as radiation hardness tests on quartz material.

Index Terms—Čerenkov detectors, Čerenkov radiation, quartz.

I. INTRODUCTION

THE Large Hadron Collider (LHC) is designed to provide 14 TeV center of mass energy with proton proton collisions every 25 ns. After a few years of running, the LHC will be upgraded to super-LHC (SLHC), that will operate at 10 times higher luminosity ($L = 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$), thereby allowing new physics discoveries. In the current design, the hadronic endcap (HE) calorimeter of the compact muon solenoid (CMS) detector uses Kuraray SCSN81 scintillator tiles, and Kuraray Y-11 double clad wavelength shifting (WLS) fibers. These materials have been shown to be moderately radiation hard up to 2.5 MRad. The scintillation photons are collected by wavelength shifting fibers with the geometry shown in Fig. 3 as the HE-shape [3].

Under SLHC conditions the lifetime radiation dose in the HE calorimeter region will increase from 2.5 MRad to 25 MRad. The scintillator tiles used in the current design of the HE calorimeter will lose their efficiency due to radiation damage. As a solution to the radiation damage problem in the SLHC era, we propose to substitute scintillators by quartz plates and carry out the light via UV absorbing, blue emitting WLS fibers. We performed radiation hardness tests on seven different types of quartz material. Results show that quartz will not be affected by the radiation dose at the SLHC. However, when quartz

Manuscript received August 26, 2007; revised December 18, 2007. This work was supported by the U.S. Department of Energy (DE-FG02-91ER-40664).

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Digital Object Identifier 10.1109/TNS.2008.918524

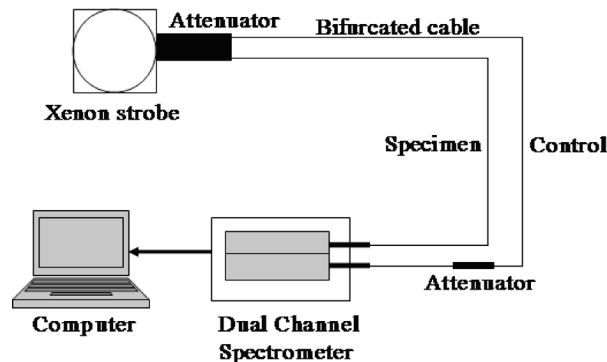


Fig. 1. Optical transmission measurement setup at the University of Iowa CMS Laboratories [5].

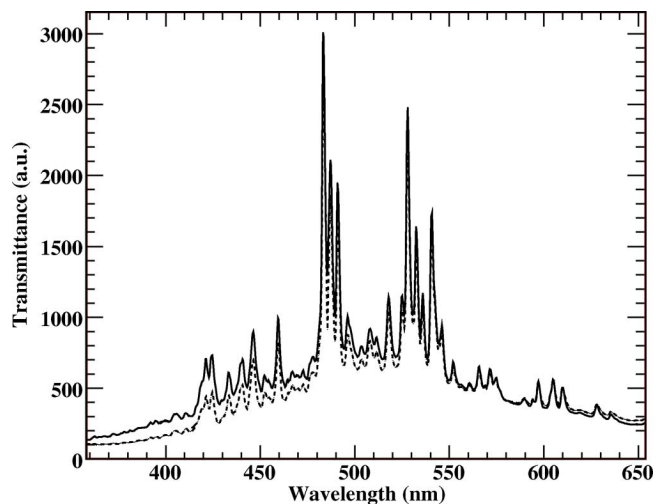


Fig. 2. Light transmission test results for FBP 600-660-710 which was found to be very radiation hard [5]. The solid line and the dotted line show the response of the fiber before and after irradiation, respectively.

plates are used, the detected photons come from Čerenkov radiation, which yields 100 times less light than the scintillation process. A focus of this study is to find an efficient way to collect light from quartz plates. At the University of Iowa and Fermi National Accelerator Laboratory, we tested and simulated different sizes of quartz plates with different fiber geometries embedded in them to obtain maximum light. To make up for the reduction in light production, use the far-UV region to collect Čerenkov photons since the number of generated Čerenkov photons increase as $1/\lambda^2$ [4]. This report we presents the results

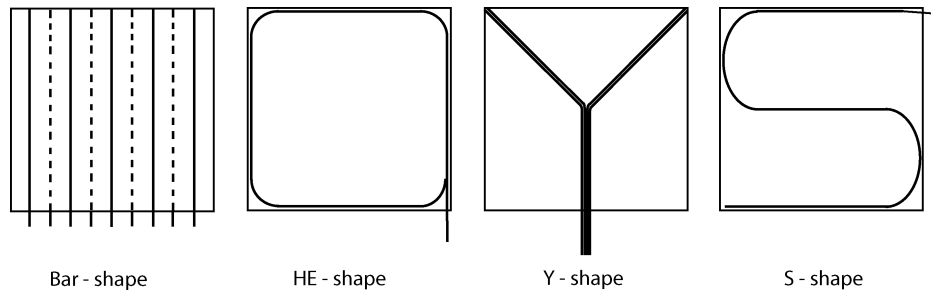


Fig. 3. Fiber geometries embedded into quartz plates. The dotted lines of the bar-shape represent the fibers on the other side of the plate.

from the studies done on radiation hardness of the quartz material, and on finding an efficient way to collect light from quartz plates.

II. RADIATION HARDNESS TESTS

Quartz is known to be radiation hard in general [1], [2]. However, not all the quartz types have the same amount of radiation hardness. Among the different types of quartz it is important to find the best option to replace the CMS HE calorimeter tiles. For this purpose we selected seven different types of quartz material in the form of fiber from Polymicro Technologies. The selected quartz types were FVP 300-315-345, FSHA 300-330-350, FDP 300-315-345, FBP 600-660-710, FVP 600-660-710, FVP 600-660-710 UVM, and FSHA 600-630-800 [5]. The fibers were tested for light transmission degradation after a large radiation dose. They were bombarded with pulses of high-energy neutrons produced by the Intense Pulsed Neutron Source (IPNS) at Argonne National Laboratory for 313 hours. Seven sets, of five fibers each, were placed in an irradiation tube about 25 cm away from the IPNS target. These fibers were irradiated for a two-week period during which the integrated current delivered to the IPNS target was 4456 A-hrs. The fibers were exposed to a total of 17.6 MRad of neutron and 73.5 MRad of gamma radiation.

The optical transmission of the fibers was then measured and compared to the baseline measurements. An Ocean Optics PX-1 Xenon flash lamp and an Ocean Optics SD2000 spectrometer were used for these measurements. The light was sent into a bifurcated optical fiber and was split into two channels. The test setup is shown in Fig. 1.

The tests show that a special radiation-hard solarization resistant quartz fiber (FBP 600-660-710) gives the best results. Solarization is defined as a phenomenon where a temporary change occurs to a material due to exposure to high energy electromagnetic radiation [6]. The response of this fiber to the radiation can be seen in Fig. 2, where the solid line is the response of the quartz fiber before being subject to the radiation and the dotted line is the response after irradiation. A detailed analysis of the quartz fiber irradiation will be presented in a separate study.

III. LIGHT COLLECTION TESTS

As stated before, our proposal is to collect the Čerenkov photons from the far-UV range to help to make up for the deficiency against scintillators. For this purpose we selected Saint Gobain BCF-12 WLS fibers, which can absorb photons down to 280 nm, and emit at 435 nm [7]. Although they have not been proven

to be radiation hard [8], [9], since the aim of this study is to investigate the quartz plates, these fibers are found to be adequate. In the current design of the HE plates, fibers collect the scintillation photons from the edges of the plates. This simple fiber geometry works well for the scintillators since the scintillation photons are generated in random directions. However, the Čerenkov photons are generated at a fixed angle with respect to the momentum of the charged particle. Since the photons are scarce we cannot afford to make them propagate to the edges of the plates. The fibers should be placed close to the photons for efficient light collection.

We investigated the most uniform and efficient fiber embedding geometry to collect the Čerenkov photons. For this purpose, various fiber embedding geometries were considered. Here we report the result for the following geometries: Bar-shape, HE-shape, Y-shape, and S-shape (see Fig. 3).

All of the plates were wrapped with Tyvek, which is a very strong, synthetic material. The University of Iowa bench tests showed that Tyvek is as good a reflective material as aluminum and Mylar in both the UV and visible wavelength region [10]. In all the tests reported below Hamamatsu R7525-HA photomultiplier tubes (PMTs) [11] were used to measure the light at the end of the fibers.

Light collection efficiencies and uniformities of the quartz plates were compared to those of the original HE scintillators at Fermilab test beams, and with bench tests at the University of Iowa. We also confirmed the results with GEANT4 simulations that will be discussed in the last section.

A. Beam Tests and Results

In the span of 18 months we performed three independent beam tests at the Fermilab M-Test facility. In August, 2004, we tested (20 cm \times 20 cm \times 3 mm) UV transmitting (UVT) acrylic plates, with different fiber geometries (HE-shape, Y-shape, S-shape). The WLS fibers used were 0.6 mm diameter BCF-12. The UVT acrylic has the same refractive index as quartz (1.45), and other than not being radiation hard, it is the same as quartz for creating Čerenkov photons. Although the tests showed fewer Čerenkov photons compared to quartz, due to the fact that UVT absorbs more in the UV, being inexpensive and easy to machine make the UVT plates a good test tool. The light collection efficiencies of these plates were compared to two (20 cm \times 20 cm \times 4 mm) original HE scintillators with the original HE WLS fibers. The 120 GeV proton beam hit the center of 20 cm \times 20 cm iron blocks. The shower was sampled at 1 inch increments from 5" to 13" of absorber depths for all plates.

The original HE geometry UVT plate yielded only about 1% as much light as the scintillator plates, while the Y-shape and S-shape yielded 5%. This first beam test showed that varying the fiber geometries can increase the Čerenkov light collection.

In January 2005, we performed tests on two sets of (10 cm × 10 cm × 3 mm) Polymicro quartz plates; 6 plates with high hydroxyl (OH) and 4 plates with low OH content [12]. These quartz plates were grooved with S-shape, HE-shape and Y-shape fiber geometries. Again, the BCF-12 WLS fibers of 0.6 mm diameter were embedded into these grooves. These grooves allowed the fibers to be positioned inside the plates. The grooves were not polished; optical grease provided optical coupling. These quartz plates, and two (20 cm × 20 cm × 4 mm) original HE scintillators with original HE WLS fibers embedded in them, were exposed to 120 GeV and 64 GeV proton beams. Showers were created with iron absorbers and sampled at different shower depths. The amount of charge obtained from each geometry was compared to that of the original HE scintillator. The results from this second beam test showed that the low OH quartz is slightly more efficient than the high OH quartz. The amount of light collected with the quartz increased dramatically compared to UVT plates. Also, with smaller plate sizes, the probability that Čerenkov photons would be captured by WLS fibers inside the plates was increased. As a result, the signal from the quartz plates was as much as 50% of that from the original HE plate. Again the HE-shape yielded the worst charge collection efficiency compared to the others. Also, we modified S-shape and HE-shape plates to read the signal from both ends of the WLS fibers, which increased the light collection by almost 25%.

From the two previous test beams and the surface non-uniformity studies, we concluded: a) Uniform Čerenkov light collection requires fibers to be uniformly distributed over the quartz plate. b) Efficient light collection requires an increase in (i) the number of Čerenkov photons created by using thick quartz plates (ii) the probability of Čerenkov photons being captured by WLS fibers by using large diameter WLS fibers.

To satisfy these efficiency and uniformity needs we designed a geometry called “Bar-shape” (see Fig. 4), in which the fibers are uniformly distributed on both sides of the quartz plate. In January 2006, we tested 6 Polymicro quartz plates with (20 cm × 20 cm × 6 mm) dimensions that were grooved in bar-shape to hold 1 mm diameter WLS fibers. Fig. 4 shows the light collection comparison between the HE scintillator and the quartz plate with the bar-shape fiber geometry. Most of the points lie near the diagonal of the plot implying that the light collection abilities of the two plates are comparable to each other.

The test beam results are summarized in Fig. 5. The light collection percentages of the quartz plates with four different fiber geometries with respect to the original HE scintillator are shown. It should be noted that, the percentage goes up to 70 percent with the bar-shape.

IV. SURFACE NON-UNIFORMITY TESTS

At the University of Iowa CMS laboratories, we performed bench tests on all quartz fiber geometries for surface light collection non-uniformities. The non-uniformity of a plate is de-

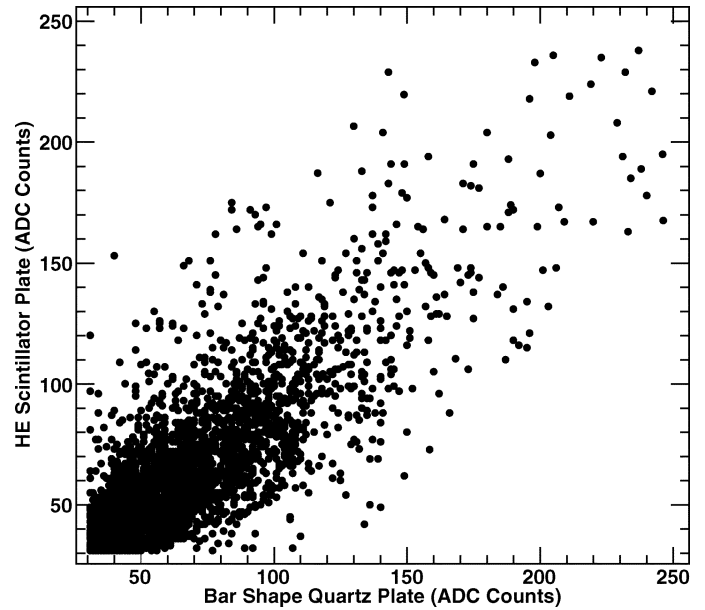


Fig. 4. Comparison of bar-shape quartz plate to HE scintillator: event by event ADC outputs from each plate. The quartz and scintillator plates were positioned adjacent to each other in the same shower depth. A weighted linear fit gives a slope of 1.42. This fit is obtained by weighting the number of entries at every point.

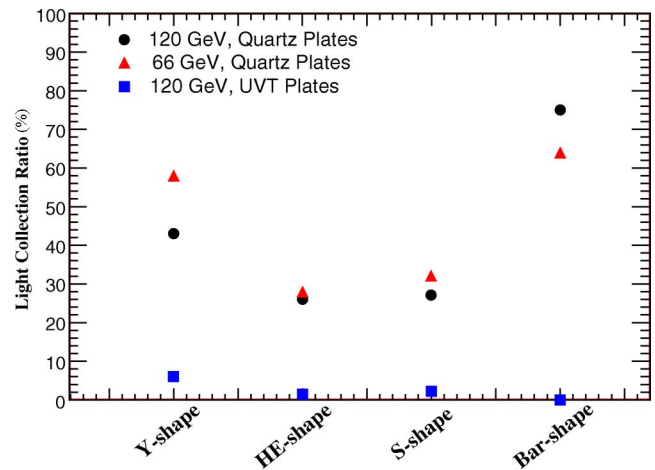


Fig. 5. The light collection ratios of quartz plates with different fiber geometries to the original HE scintillator. The variations in the response for different beam energies is due to lack of statistics at 66 GeV beam energy.

defined as the variation of the output sensitivity of the PMT with respect to the position of the light spot on the plate.

A UV-LED (380 nm), a nitrogen laser (337 nm), and a mercury lamp were used as light sources to imitate Čerenkov radiation, of various wavelengths, in quartz plates. The mercury lamp has 3 emission wavelengths (253.65 nm, 365 nm, and 404.7 nm). However, the absorption spectrum of BCF-12 WLS fiber is from 280 nm to 435 nm, which eliminates the possibility of detecting the 253.65 nm photons with this setup. Quartz plates with four different fiber geometries (S-shape, Y-shape, HE-shape, and bar-shape) were tested for light collection non-uniformity.

Two dark boxes were used for these tests (see Fig. 6) The light was generated in the first box and then carried to the second box

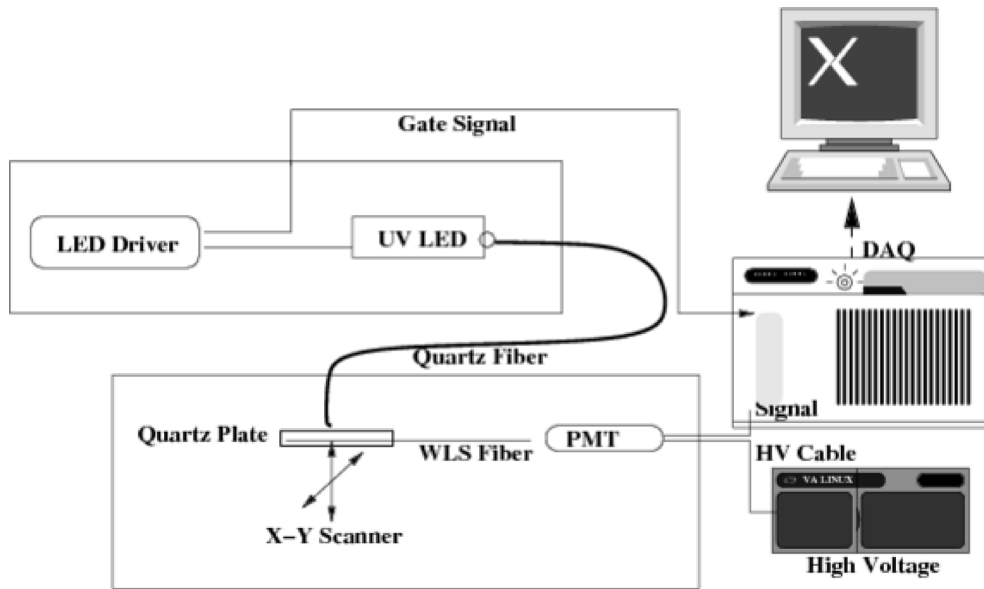


Fig. 6. Surface non-uniformity tests setup in the University of Iowa CMS Laboratories.

by a quartz fiber of $600\ \mu\text{m}$ diameter [10]. Only one surface of the quartz plates was wrapped with Tyvek. The light coming out of quartz fiber was directed onto the open surface of the plate from a 2 mm distance. The quartz plates were attached to a computer controlled X-Y scanner that has the capacity to scan the surfaces with a $1/4000$ inch step size. The light incident on the surface was absorbed by WLS fibers, shifted in wavelength, and transmitted to Hamamatsu R7525 PMTs. For pulsed light sources (UV-LED and Laser), the PMT signal was processed by a data acquisition system that included a CAMAC based LeCroy 2249A ADC. For the DC light source (mercury lamp) the PMT current was read by a picoammeter. For both cases the DAQ is monitored online by using LabView software. The pulse frequency was 20 Hz for the nitrogen laser and 10 kHz for the UV-LED. Neutral density filters were used to make the light intensities same for all sources. All the plates were scanned with a 0.5 cm step size and a PMT output was obtained for each point. The non-uniformity values were calculated by dividing the standard deviation of the outputs by the mean.

The bench tests show that bar-shape is the most uniform geometry. This fiber geometry yields an even more uniform light collection than the original HE scintillator. The ratio of the bar-shape non-uniformity to that of the HE scintillator is measured to be around 0.75. All the other fiber geometries have a surface non-uniformity around twice the non-uniformity of the bar-shape. The surface non-uniformity plot for the bar-shape is shown in Fig. 7.

The current test setup allows a comparison of the light collection uniformities of the different fiber geometries. The test results are in good agreement with GEANT4 [13] simulations, summarized in the next section, from which we can get absolute light collection non-uniformity values.

V. GEANT4 SIMULATIONS

We performed GEANT4 simulations to study photon production, collection efficiencies and surface non-uniformities in a

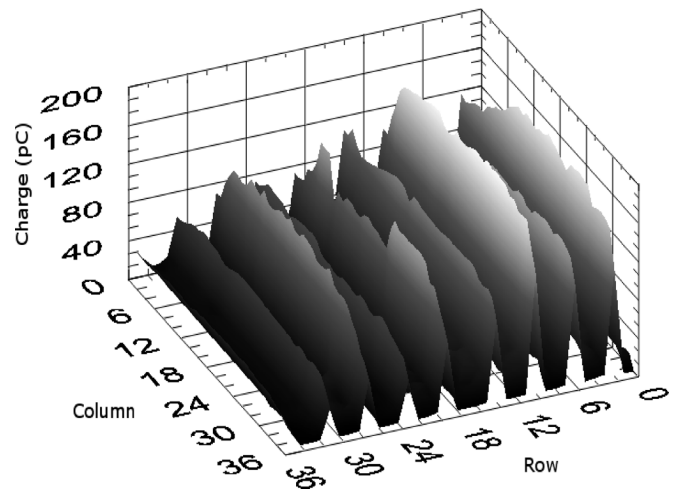


Fig. 7. The charge collected by bar-shape quartz plate. The step size is 0.5 cm on both axes.

quartz plate and WLS fiber system. As in the real plate samples, in the simulated model the WLS fibers are embedded in the quartz plate. The Čerenkov photons created in the quartz plates are wavelength shifted in the fiber and detected by the photocathode of the photomultiplier tube. We studied four different fiber geometries: the bar-shape, Y-shape, S-shape and HE-shape (see Fig. 8).

The model quartz plates are 2 mm thick and have a cross sectional area of $10\ \text{cm} \times 10\ \text{cm}$. The WLS fibers have a core diameter of $600\ \mu\text{m}$. Quartz plates are wrapped with a reflecting material that has a 95% diffuse reflection efficiency to imitate the effect of Tyvek. The quartz and WLS fiber attenuations are included in the simulation, as well. The absorption and emission spectra of Bicron 91 WLS fiber [7] are used in the simulations. The absorption peaks around 425 nm, and below 390 nm the relative amplitude is less than 50%. The emission spectrum

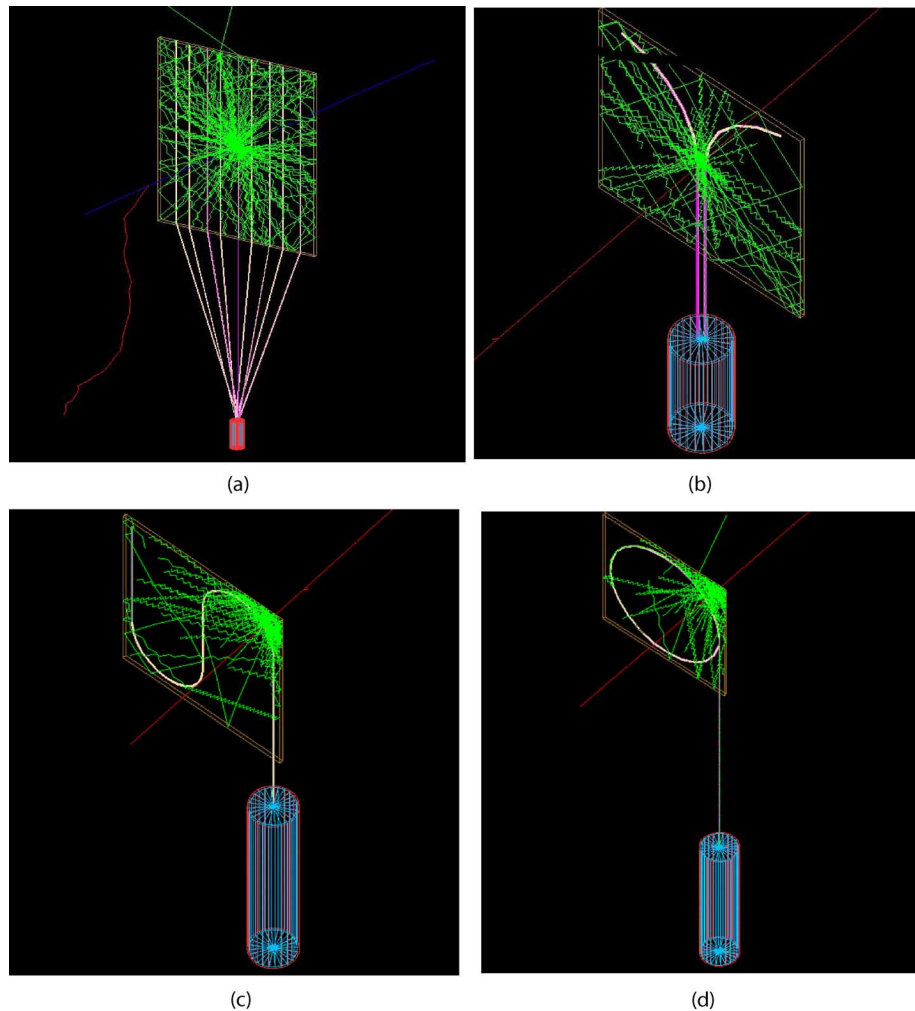


Fig. 8. The simulation visuals for the four different fiber geometries: (a) Bar-shape, (b) Y-shape, (c) S-shape and (d) HE-shape. Green lines in the figure represent the Čerenkov photons.

maximum is about 495 nm and falls below 50% after 510 nm. Wavelength shifted photons reaching the PMTs are counted.

In order to compare the light collection efficiencies and surface non-uniformities of different fiber configurations, we have simulated a surface scan with a 4 GeV electron beam. The scan was carried out in a grid with 1 cm increments in the x and y directions. For each (x, y) point, a total of 1000 4 GeV electron events were recorded. For each event the total number of photons reaching the PMT cathode and their arrival time were recorded to be analyzed afterwards. The photon arrival time is defined as the time interval between the creation of Čerenkov photons and the detection of wavelength shifted photons by the PMT.

In Fig. 9, the profiles of the number of photons reaching the PMT as a function of the beam position are shown. The figures show that the PMT signals are larger when the beam is closer to the WLS fibers. As can be seen, bar-shape geometry has a more uniform charge collection since the fibers are more uniformly distributed throughout the plate.

According to the simulations of the surface scan, the light collection non-uniformities are 62%, 36%, 52%, and 26% for HE-shape, S-shape, Y-shape and bar-shape, respectively. These

results are in excellent agreement with the bench tests. Bar-shape has the most uniform light collection ability.

The comparison of the light collection efficiencies between different fiber geometries was done by taking the ratios of the mean number of photons collected at each beam position. Bar-shape has the highest light collection efficiency. The simulated light collection ratios can be normalized with respect to bar-shape. The values are as follows: Bar-shape: 100%, Y-shape: 90%, S-shape: 85%, and HE-shape: 70%, as observed in beam tests.

Another important parameter is the arrival time of the photons to the PMT as there will be a finite amount of time that a gate will be opened to measure the signal. Simulations show that mean arrival time of photons to the PMT cathode is less than 5 ns for all fiber geometries.

VI. CONCLUSION

The current scintillator design of the CMS HE calorimeter is not adequate for the high radiation environment of the SLHC era. We propose to replace the scintillator tiles by quartz plates in which we will collect photons from Čerenkov production which will yield much less light than scintillation.

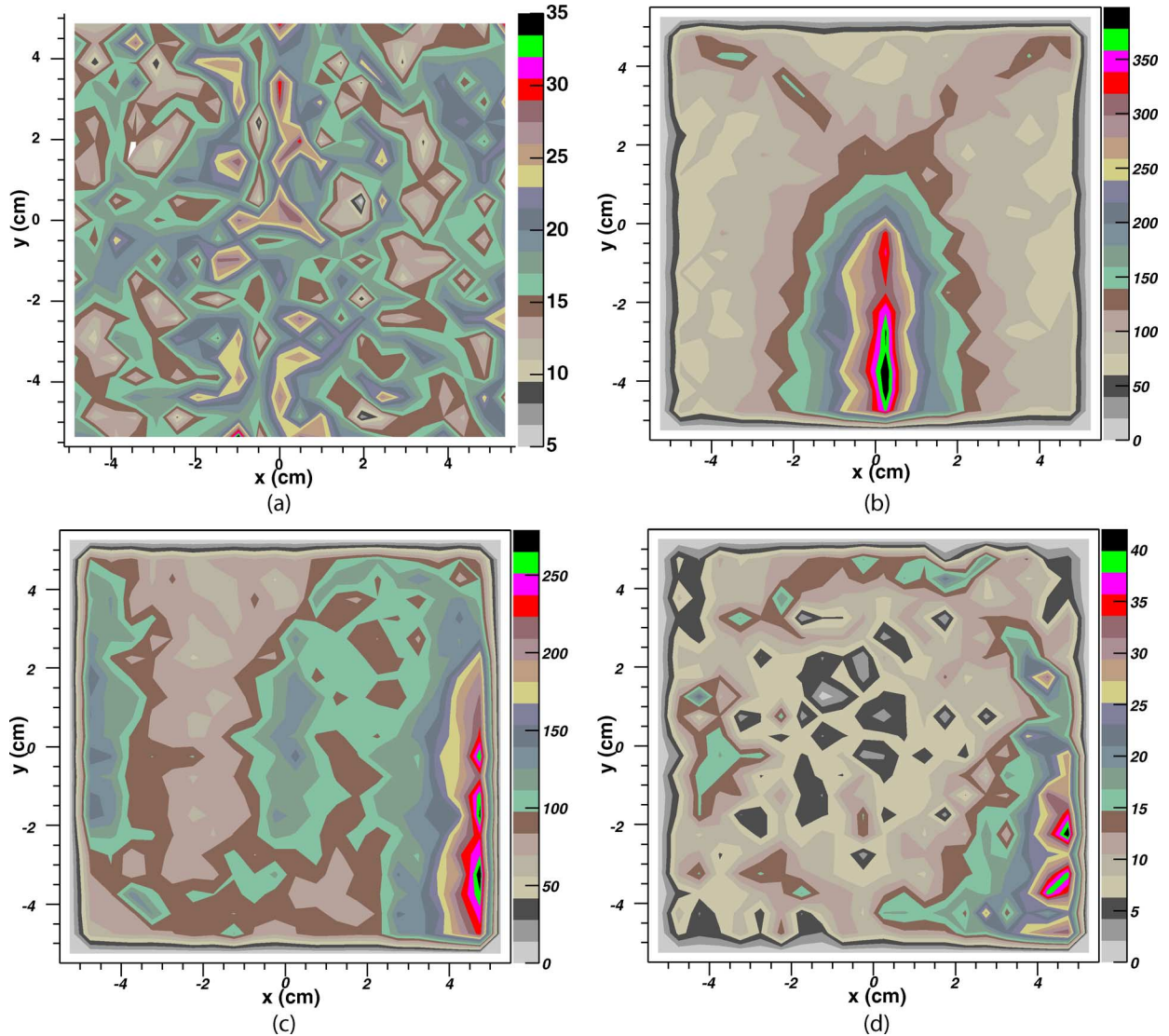


Fig. 9. The simulated profiles of the number of photons reaching the PMT as a function of the beam position for (a) Bar-shape, (b) Y-shape, (c) S-shape and (d) HE-shape. The output of bar-shape shows less dependency on the beam position.

Radiation damage tests at Argonne Laboratories showed that Polymicro FBP 600-660-710 solarization resistant quartz is the most radiation hard of the quartz types that we tested.

Simulations show that the amount of Čerenkov radiation in a quartz plate is around 1% of the scintillation photons from the same size scintillator tile. To collect the light in a more efficient way, we worked on different plates with different sizes and with different fiber geometries embedded in them.

After many beam tests and bench tests we came to the conclusion that by using a quartz plate with the bar-shape fiber geometry, we can collect almost 70 percent of the light that the original HE tile would yield. The plate with the bar-shape fiber geometry is shown to be very uniform since the fibers are distributed uniformly throughout the surface.

To improve the light collection, a thick quartz plate with a small area, and many WLS fibers embedded in it should be used. It should be remembered that the space between the absorber layers of HE calorimeter (9 mm) limits the thickness of the quartz plates.

Simulations show that the surface non-uniformity is around 26% for the bar-shape and the ratio of collected light with respect to HE scintillator is about 70%. The analyses of the mean arrival time showed that the light collection is extremely fast (< 5 ns) which makes quartz a good candidate for the SLHC era. Even if the 10 times higher luminosity is obtained by decreasing the bunch crossing to 12.5 ns, the photon arrival time for quartz plates will be well within the gate.

ACKNOWLEDGMENT

The authors are indebted to our collaborators D. Green, J. Freeman, and A. Skuja for their support and encouragement. The authors would also like to thank N. Duru, A. Konik, and QUARKNET students for their help during the tests, and the University of Iowa, Office of Vice-President of Research, for its support.

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