

Lecture #4 Boldyreva's Theory for Strong MHD Turbulence

Dec 2021

I. Review of GSIS Strong MHD Turbulence TheoryA. Assumptions

1. Kolmogorov Hypothesis: a. Local energy transfer  
b. Constant energy cascade rate

2. Anisotropic Cascade:

Nonlinear turbulence frequency determined by perpendicular dynamics,  $\omega_{nl} \sim k_{\perp} v_k$

3. Critical Balance between linear and nonlinear timescales,  
 $\omega \sim \omega_{nl}$

B. Predictions: 1.  $\omega_{nl} \sim k_{\perp} v_k$  [where  $v_k \equiv v_{\perp}(k_{\perp})$ ]

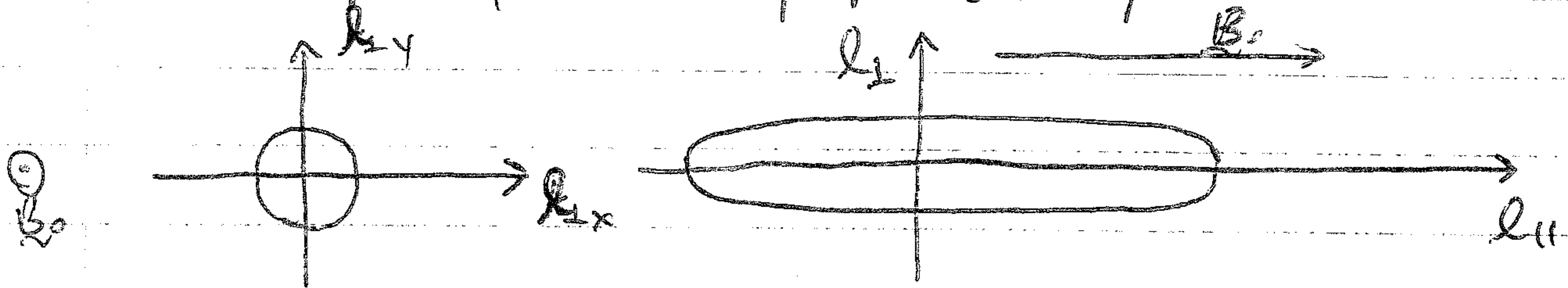
2.  $v_k = \epsilon_0^{1/3} k_{\perp}^{-1/3}$

3.  $E_{k_{\perp}} = \epsilon_0^{2/3} k_{\perp}^{-5/3}$ , Goldreich-Sridhar spectrum  $\propto k_{\perp}^{-5/3}$

4.  $k_{\parallel} = k_0^{1/3} k_{\perp}^{2/3}$ , Scale-dependent anisotropy,  $k_{\parallel} \propto k_{\perp}^{2/3}$

C. NOTE:

1. Isotropic dynamics in perpendicular plane



- a. Thus, turbulence structures at small scales (with  $k_{\perp} \gg k_{\parallel}$ ) are filamentary, or cigar-shaped, with elongation along the mean magnetic field.

2. The Boldyreva theory finds anisotropy in the perpendicular plane.

## II. Boldyreva's Theory

### A. Motivation:

1. Although the GS95 theory for strong MHD turbulence accomplished a great stride forward in our fundamental understanding and the inevitability of anisotropy, detailed numerical simulations of MHD turbulence found spectra that appeared to scale like  $k_{\perp}^{-3/2}$ , ~~not~~  $k_{\perp}^{-5/3}$ .

(We'll review these simulation results in a later lecture).

2. This spectrum disagreed ~~with~~ with both:

- a. Goldreich-Sridhar 95,  $E(k_{\perp}) \propto k_{\perp}^{-5/3}$ , anisotropic
- b. Iroshnikov-Kraichnan  $E(k) \propto k^{-3/2}$ , isotropic.

3. Studies of decaying MHD turbulence (as opposed to driven turbulence) find a tendency towards dynamic alignment, where the fluctuations approach the sense of either

$$\underline{v}_{\perp}(r) = \underline{b}_{\perp}(r) \quad \text{or} \quad \underline{v}_{\perp}(r) = -\underline{b}_{\perp}(r)$$

a.  $\underline{z}^+ = 0, \underline{z}^- = 0$  or  $\underline{z}^+ = 0, \underline{z}^- \neq 0$

b. Nonlinear interaction is zero in either of these states!  
 $(\underline{z}^- \cdot \nabla) \underline{z}^+$  or  $(\underline{z}^+ \cdot \nabla) \underline{z}^-$ .

4. The Boldyreva theory proposes that this tendency to approach dynamic alignment occurs also in driven MHD turbulence, but the turbulence may only achieve an imperfect (and scale-dependent) alignment while maintaining a constant energy flux to small scales.

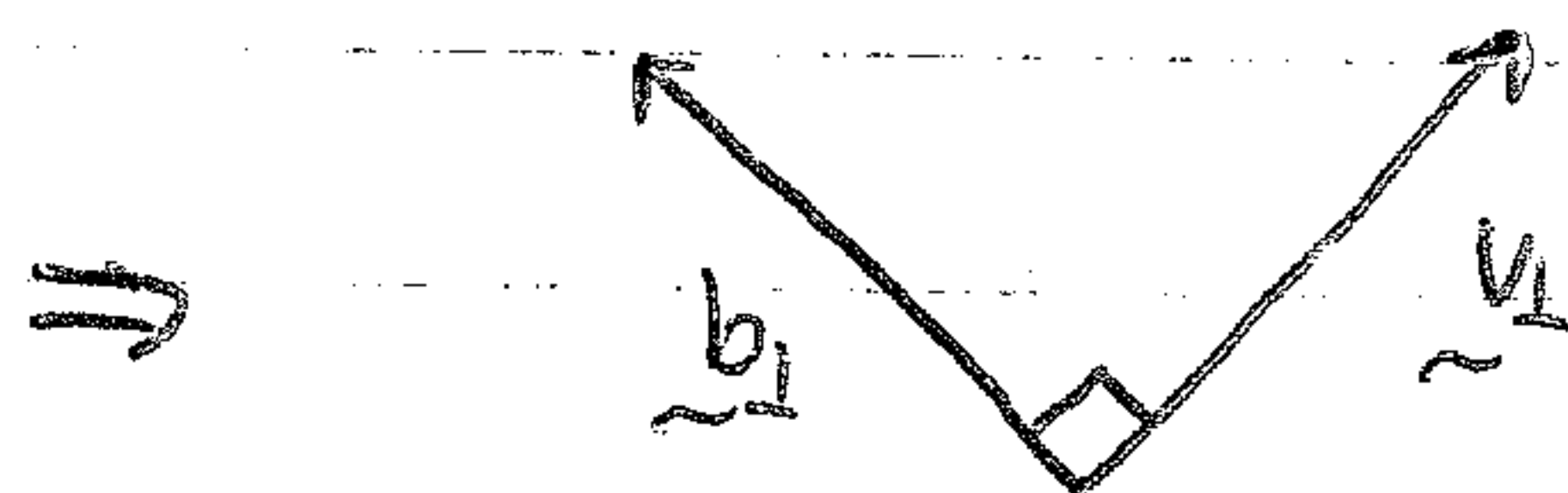
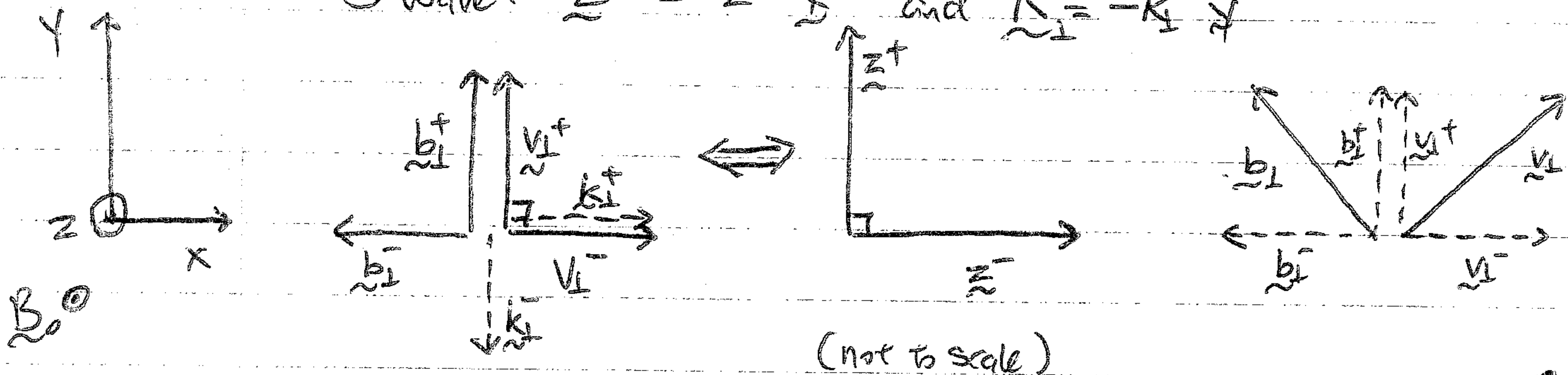
II (Continued)

B. Geometry of Nonlinear Interactions:

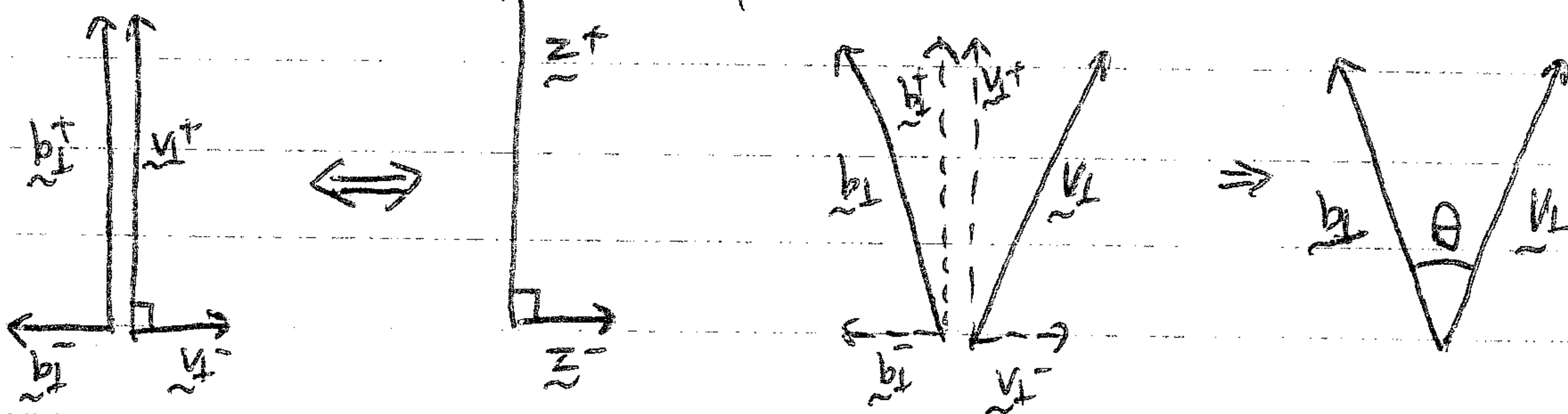
1. Equal Amplitude, Perpendicularly Polarized Alfvén Wavepackets Collisions

a.  $\oplus$  wave:  $\underline{z}^+ = z^+ \hat{y}$  and  $\underline{k}_\perp^+ = k_\perp^+ \hat{x}$

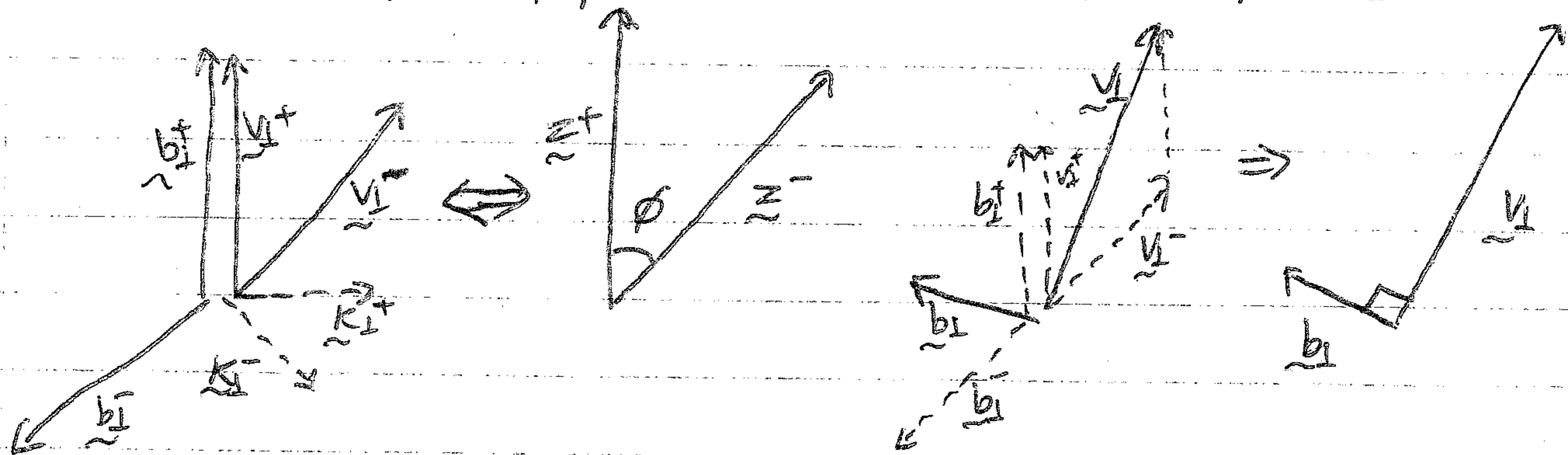
$\ominus$  wave:  $\underline{z}^- = z^- \hat{y}$  and  $\underline{k}_\perp^- = -k_\perp^- \hat{x}$



2. Unequal Amplitude, Perpendicularly Polarized Alfvén Wavepackets:



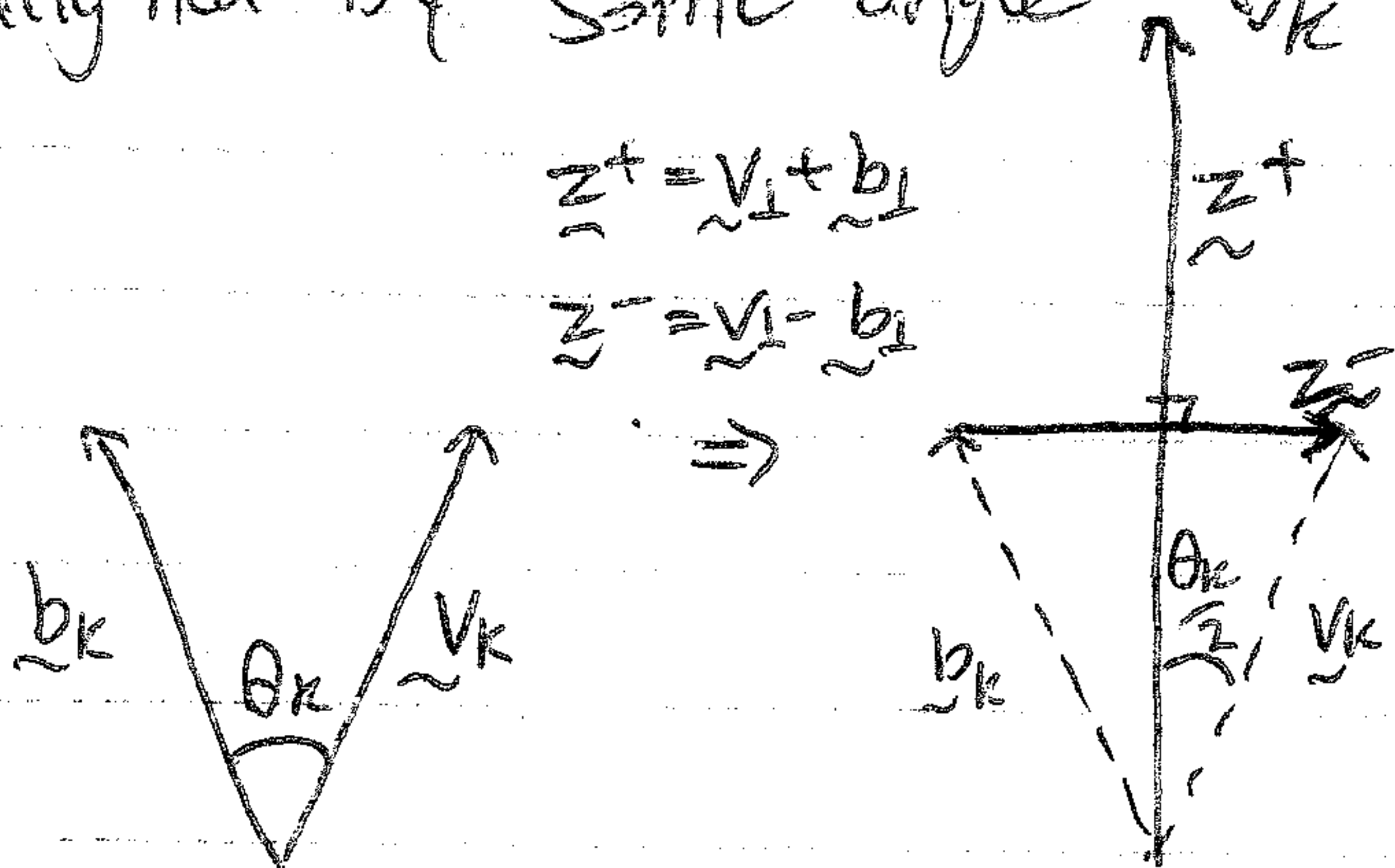
3. Equal Amplitude, Non-perpendicular Polarized Alfvén wavepackets:



II. (Continued)

C. Boldyrev's Approach:

a. Assume that, for fluctuations at some perpendicular scale  $k_{\perp}$ , magnetic & velocity field fluctuations are aligned by some angle  $\theta_k$



b. Thus  $|z^+| = 2 v_k \cos(\frac{\theta_k}{2})$

and  $|z^-| = 2 v_k \sin(\frac{\theta_k}{2})$

NOTE:

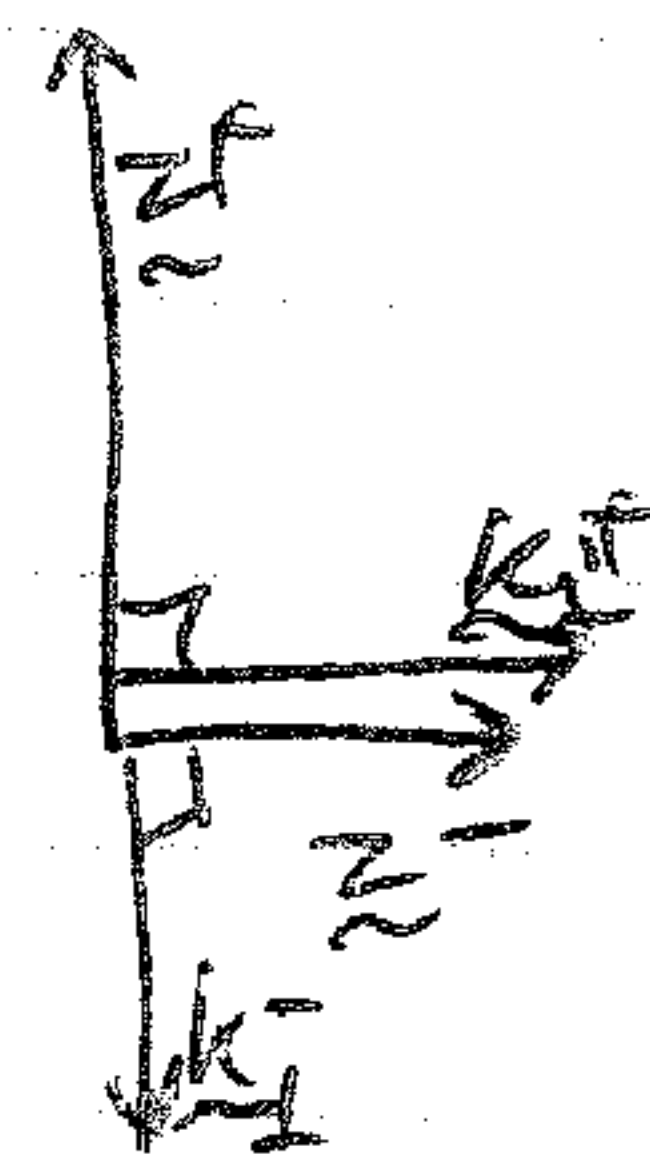
| $\sin \theta$ | $\theta$                      |
|---------------|-------------------------------|
| 1             | $\frac{\pi}{2} \approx 1.57$  |
| 0.707         | $\frac{\pi}{4} \approx 0.785$ |
| 0.5           | $\approx 0.524$               |
| 0.383         | $\approx 0.393$               |

c. For  $\theta_k \ll 1$ ,  $\sin \theta_k \approx \frac{\theta_k}{2}$   
and  $\cos(\frac{\theta_k}{2}) \approx 1$

d. Thus,  $z^+ \sim 2 v_k$   
 $z^- \sim v_k \theta_k$

2. The nonlinear term is  $(z^- \cdot \nabla) z^+$ :

a. Thus (dropping the 2),  $(z^- \cdot \nabla) z^+ \sim v_k^2 k_{\perp}^+ \theta_k$



b. Equivalently,  $\omega_{ne} \sim |z^- \cdot \nabla| \sim k_{\perp}^+ v_k \theta_k$

c. Since we have assume local interactions in scale-space,  $k_{\perp}^+ \sim k_{\perp}^- \sim k_{\perp}$

So we have

$\omega_{ne} \sim k_{\perp} v_k \theta_k$

NOTE: This differs from GS95 by the factor  $\theta_k \ll 1$ .

II. C. (Continued)

3. Assume Constant Energy Cascade Rate:

a.  $\epsilon \sim \frac{v_k^2}{k_\perp} \omega_{pe} \sim v_k^3 k_\perp \theta_k = \epsilon_0$

b.  $v_k = \epsilon_0^{1/3} \theta_k^{-1/3} k_\perp^{-1/3}$       Thus  $v_k \propto \theta_k^{-1/3} k_\perp^{-1/3}$

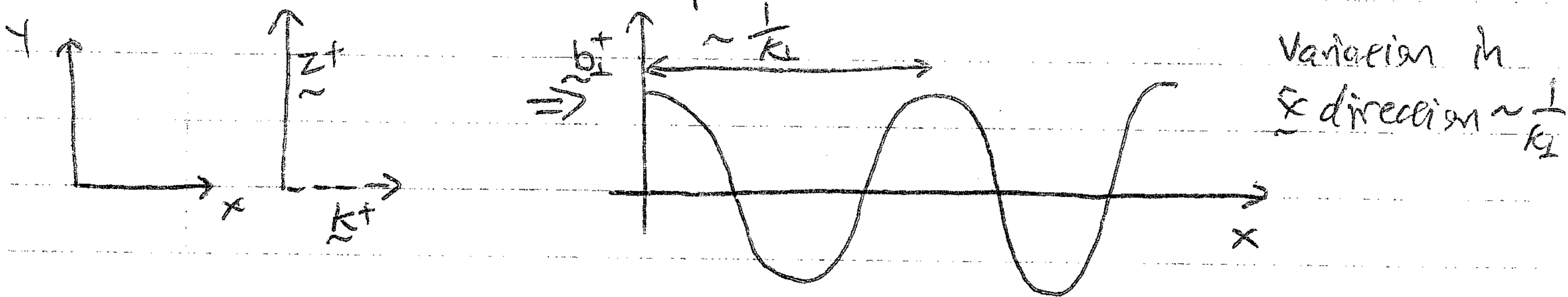
4. Critical Balance: Linear  $\sim$  Nonlinear frequencies  
 $\omega \sim \omega_{pe}$

a.  $\omega = k_{||} v_A$   
 $\omega_{pe} \sim k_\perp v_k \theta_k \Rightarrow k_{||} v_A \sim k_\perp v_k \theta_k \sim k_\perp (\epsilon_0^{1/3} \theta_k^{-1/3} k_\perp^{-1/3}) \theta_k$

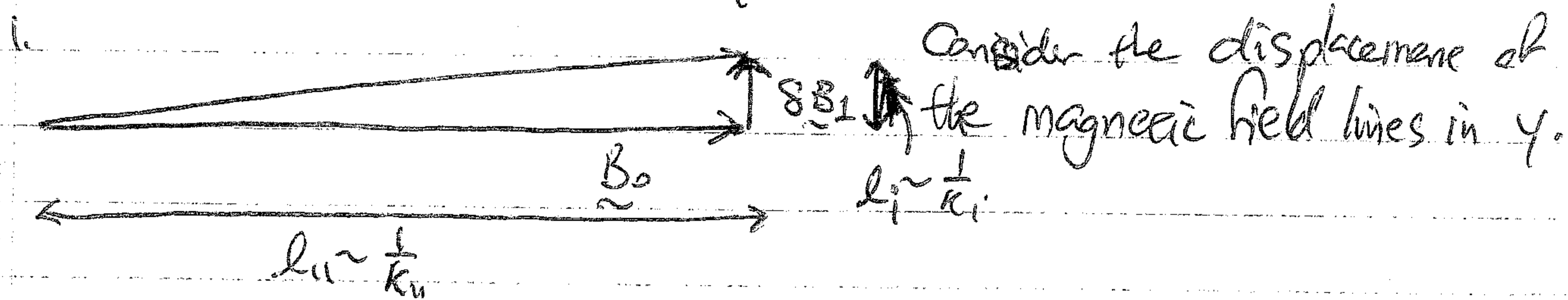
b. Thus  $k_{||} = \frac{\epsilon_0^{1/3}}{v_A} \theta_k^{2/3} k_\perp^{2/3}$        $k_{||} \propto \theta_k^{2/3} k_\perp^{2/3}$

5. Structure in the  $B_0 \times k$  direction:

a. Consider the  $z^+$  wave packet



b. What is the variation in the y direction?



2.  $\frac{\delta B_\perp}{B_0} \sim \frac{l_y}{l_z} \Rightarrow \frac{v_k}{v_A} \sim \frac{k_{||}}{k_\perp} \ll 1$       Thus  $\frac{k_{||}}{k_\perp} \sim \frac{\epsilon_0^{1/3} \theta_k^{-1/3} k_\perp^{-1/3}}{v_A}$

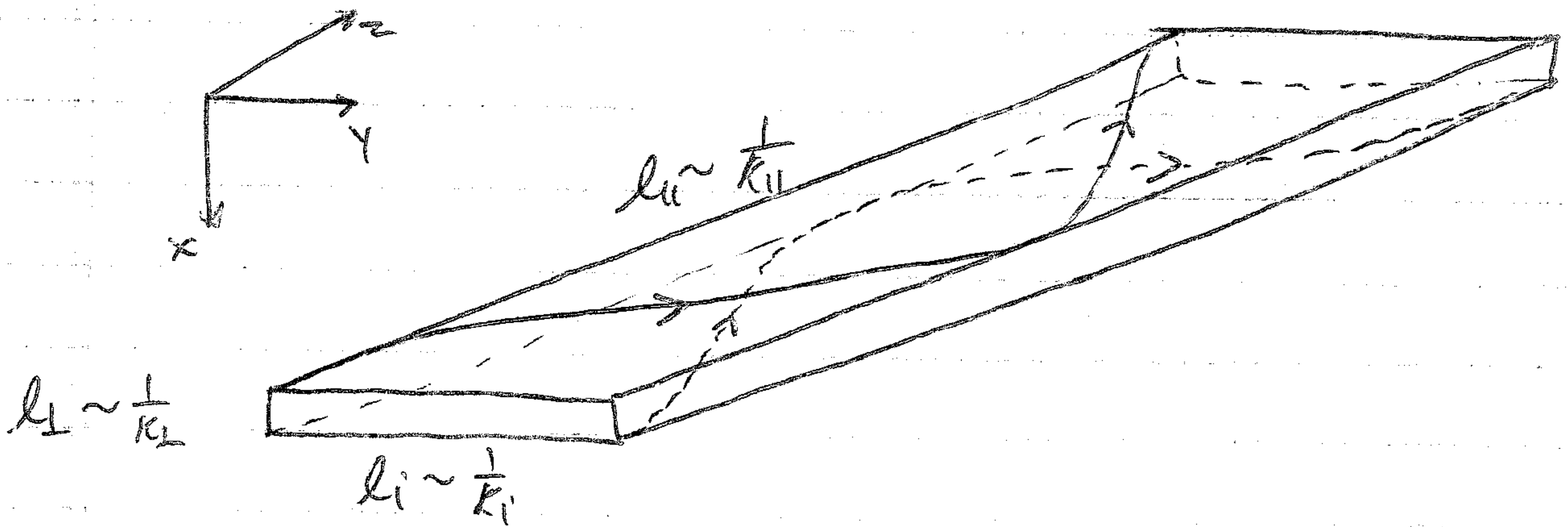
II, C. 5. b. (Continued)

$$\left( \frac{\rho_0^{1/3} \theta_k^{2/3} k_L^{2/3}}{\nu_A} \right)_{k_i} \sim \frac{\rho_0^{1/3}}{\nu_A} \theta_k^{-1/3} k_L^{-1/3} \Rightarrow \boxed{k_i \sim k_L \theta_k}$$

c. Thus, we find  $\frac{k_{ii}}{k_i} \sim \frac{\nu_k}{\nu_A} \ll 1$  and  $\frac{k_i}{k_L} \sim \theta_k \ll 1$ , so

$$\boxed{k_{ii} \ll k_i \ll k_L}$$

Anisotropy in perpendicular plane as well.



6. Assume All Quantities are Scale Invariant (including  $\theta_k$ )

a. Take  $\boxed{\theta_k \propto k_L^{-\frac{\alpha}{3+\alpha}}}$

b. Determine scaling of  $\nu_k$ ,  $k_i$ , and  $k_{ii}$  in terms of  $k_L$  &  $\alpha$ :

1.  $\nu_k \propto \theta_k^{-1/3} k_L^{-1/3} \propto \left[ k_L^{\frac{\alpha}{3(3+\alpha)} - \frac{\alpha+3}{3(3+\alpha)}} \right] \propto k_L^{-\frac{3}{3(3+\alpha)}} \propto k_L^{-\frac{1}{3+\alpha}}$

$$\Rightarrow \boxed{\nu_k \propto k_L^{-\frac{1}{3+\alpha}}}$$

2.  $k_i \propto k_L \theta_k \propto k_L^{\frac{3+\alpha}{3+\alpha} - \frac{\alpha}{3+\alpha}} \propto k_L^{\frac{3}{3+\alpha}} \Rightarrow \boxed{k_i \propto k_L^{\frac{3}{3+\alpha}}}$

3.  $k_{ii} \propto \theta_k^{2/3} k_L^{2/3} \propto \left[ k_L^{-\frac{2\alpha}{3(3+\alpha)} + \frac{2(\alpha+3)}{3(3+\alpha)}} \right] \propto k_L^{\frac{2}{3(3+\alpha)}} \propto k_L^{\frac{2}{3+\alpha}}$

$$\Rightarrow \boxed{k_{ii} \propto k_L^{\frac{2}{3+\alpha}}}$$

## II. C. G. (Continued)

c. Thus, we have defined a one-parameter family of solutions:

$$1. \theta_k \propto k_{\perp}^{-\frac{\alpha}{3+\alpha}}, \quad v_k \propto k_{\perp}^{-\frac{1}{3+\alpha}}, \quad k_i \propto k_{\perp}^{\frac{3}{3+\alpha}}, \quad k_{\parallel} \propto k_{\perp}^{\frac{2}{3+\alpha}}$$

2. However,  $\alpha$  remains undetermined thus far.

3. NOTE:  $\alpha = 0$  corresponds to GS95 theory:

$$\theta_k = \text{constant}, \quad v_k \propto k_{\perp}^{-\frac{1}{3}}, \quad \underbrace{k_i \propto k_{\perp}}_{\text{isotropic in perpendicular plane}}, \quad k_{\parallel} \propto k_{\perp}^{\frac{2}{3}}$$

7. Conservation of Cross Helicity

a. In incompressible MHD,  $H_c \equiv \int d^3r \frac{1}{2} \underline{v} \cdot \underline{b}$  cross helicity is conserved.

b. We will choose  $\alpha$  such that cross helicity is maximized. This is motivated by decaying MHD turbulence, in which the turbulence approaches a maximally aligned state,  $\underline{v}(\underline{r}) = +\underline{b}(\underline{r})$ , or  $\underline{v}(\underline{r}) = -\underline{b}(\underline{r})$ .

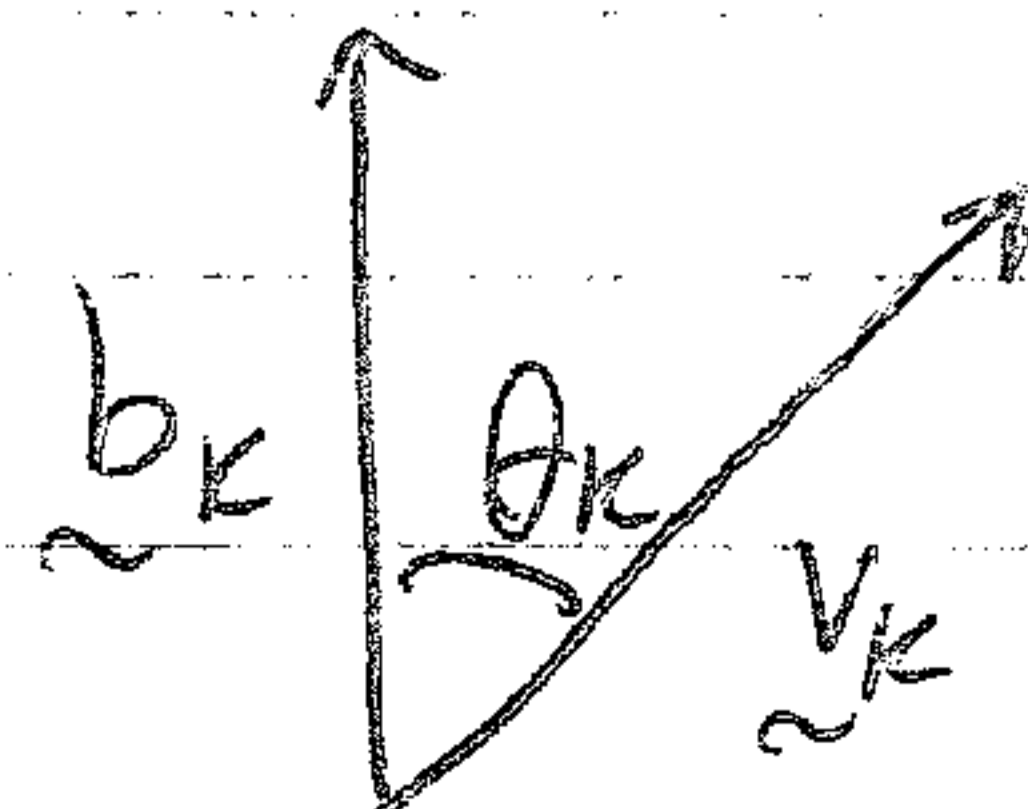
c. This concept of dynamic alignment is the new physical phenomenon distinguishing Boldyrev's theory from GS95.

d. We want maximal alignment (minimum of angular mismatch) between  $\underline{v}_k$  and  $\underline{b}_k$  as  $\alpha$  is varied.

e. In the ~~plane~~ perpendicular plane,

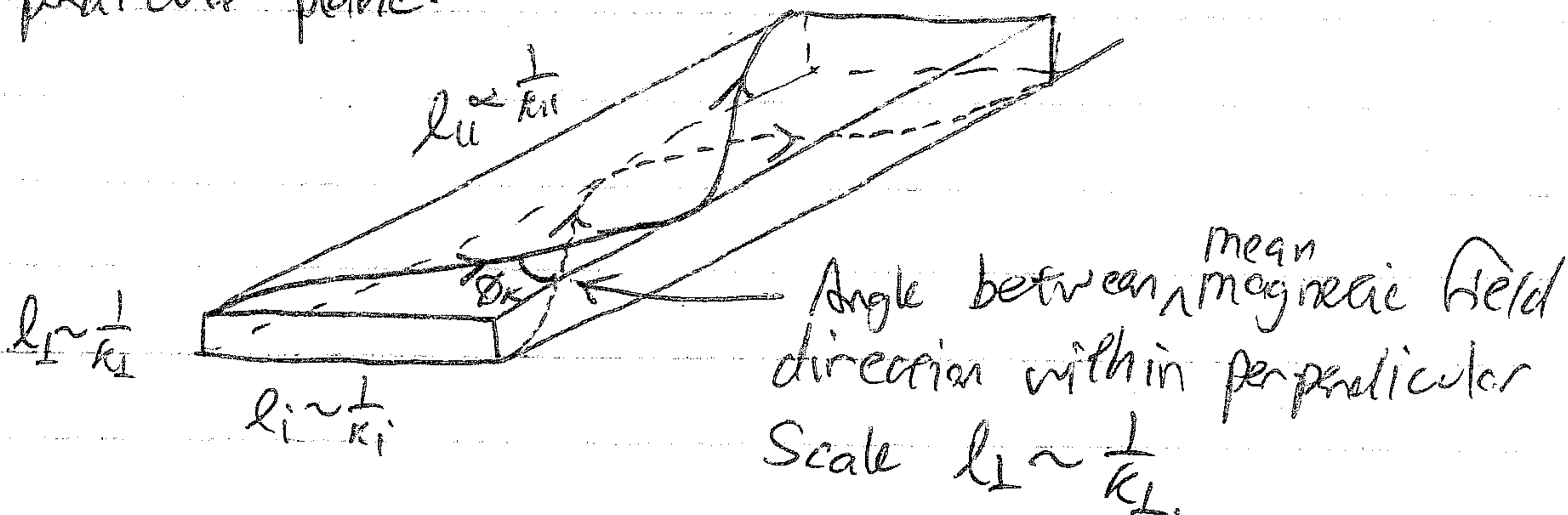
$$\text{where } \theta_k \propto k_{\perp}^{-\frac{\alpha}{3+\alpha}}$$

So  $\alpha \rightarrow \infty$  leads to a minimum of  $\theta_k$ .



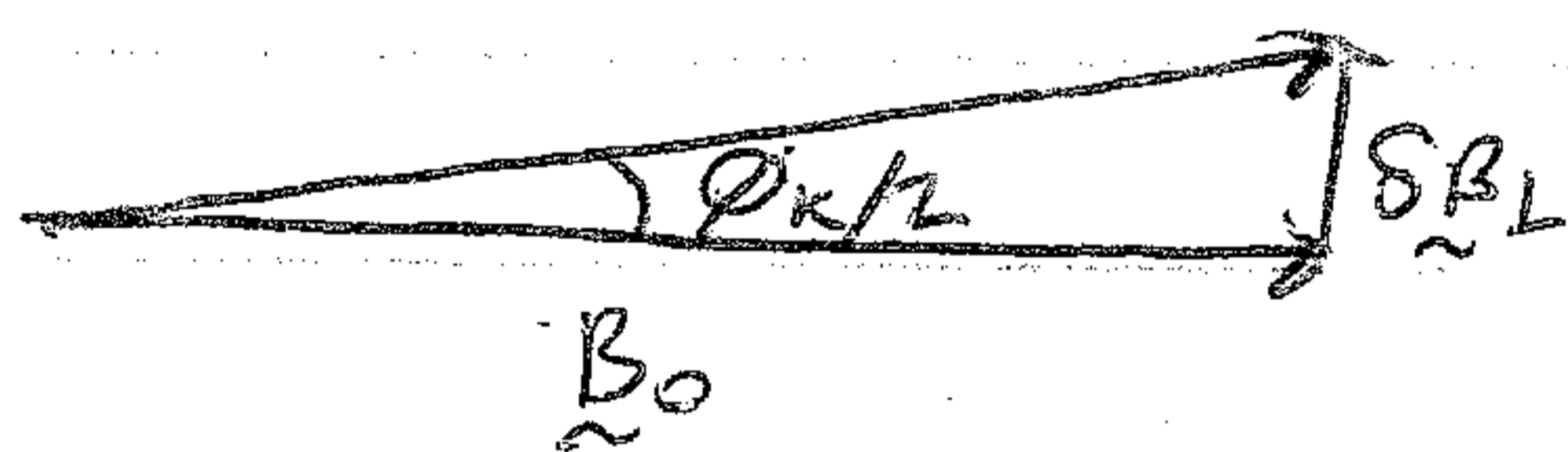
II.C.7. (Continued)

A. BUT,  $v_k$  &  $b_k$  are also mismatched out of the perpendicular plane:



$\Rightarrow$  The direction of the local magnetic field at scale  $l_{\perp} \sim \frac{1}{k_{\perp}}$  cannot be defined precisely.

i. Again



$$\frac{B_{\perp}}{B_0} \sim \frac{l_{\perp}}{l_{\parallel}} \sim \tan \frac{\phi_k}{2}$$

ii. Taking  $\phi_k \ll 1$ ,  $\tan \frac{\phi_k}{2} \sim \frac{\phi_k}{2}$ , so  $\frac{l_{\perp}}{l_{\parallel}} \sim \frac{k_{\parallel}}{k_{\perp}} \sim \frac{\phi_k}{2}$

iii. So  $\phi_k \sim \frac{k_{\parallel}}{k_{\perp}} \sim \frac{k_{\perp}^{\frac{3}{2}}}{k_{\perp}^{\frac{3}{2}}} \sim k_{\perp}^{-\frac{1}{3+2\alpha}}$

iv. The total angle is  $\Theta_k = \sqrt{\theta_k^2 + \phi_k^2}$

This angle is minimized, with respect to  $\alpha$ , when  $\alpha = 1$  ( $\theta_k \approx \phi_k$ ).  $\Rightarrow$   $\boxed{\alpha = 1}$

8. Scalings: a.  $\theta_k \propto k_{\perp}^{-\frac{1}{4}}$

c.  $k_{\perp} \propto k_{\perp}^{\frac{3}{4}}$

b.  $v_k \propto k_{\perp}^{-\frac{1}{4}}$

d.  $k_{\parallel} \propto k_{\perp}^{\frac{1}{2}}$

e. 1-D Energy Spectrum:  $E_k \propto \frac{v_k^2}{k_{\perp}} \propto k_{\perp}^{-\frac{3}{2}}$

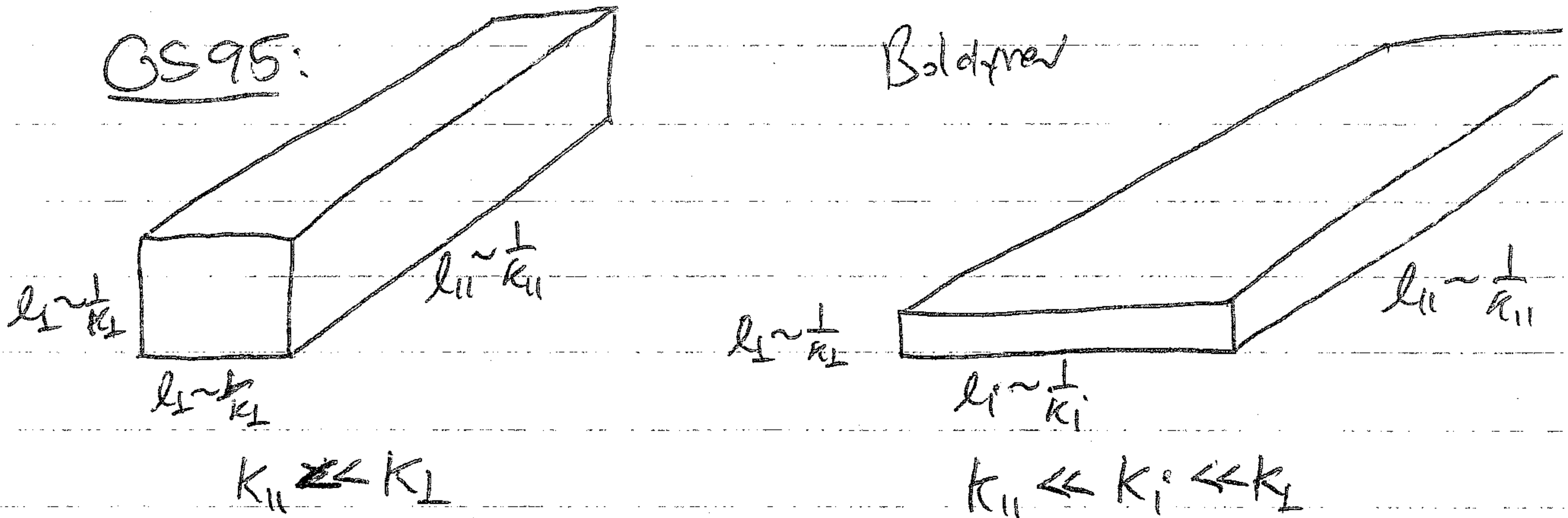
$\boxed{E_{k_{\perp}} \propto k_{\perp}^{-\frac{3}{2}}}$  Boldyred Spectrum



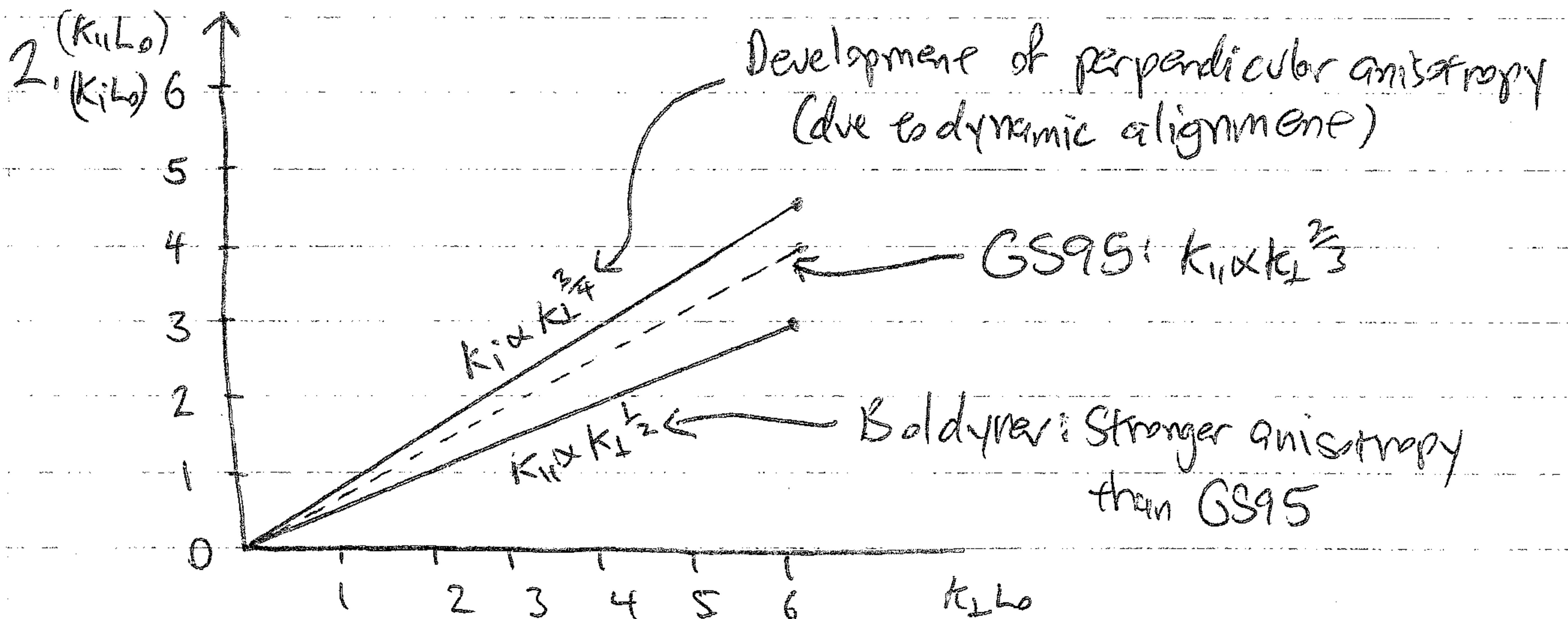
II. (Continued)

D. Predictions of Boldyrev Theory compared to GS95

1. Turbulence is essentially 3-dimensional, with anisotropy between all axes.



- a. ~~This leads to~~ Boldyrev theory leads to current sheets at small scales in MHD turbulence, consistent with simulations.
- b. GS95 predicts small-scale filaments, not observed.



- a. Take isotropic driving  $k_{11} = k_{\perp} = k_i = k_0$  with  $v_0 = v_A \Rightarrow \theta_0 = 1$
- b. Scalings:  $\theta_k = \theta_0 \left(\frac{k_{\perp}}{k_0}\right)^{-1/4}$ ,  $v_k = v_A \left(\frac{k_{\perp}}{k_0}\right)^{-1/4}$ ,  $k_{11} = \theta_0 k_0^{1/2} k_{\perp}^{1/2}$ ,  $k_i = \theta_0 k_0^{1/4} k_{\perp}^{3/4}$

## II. (Continued)

## E. Parallel Spectrum:

1. We can determine the <sup>1-D</sup> energy spectrum in  $k_{\parallel}$  by using

a.  $E = \int_0^{\infty} dk_{\perp} E(k_{\perp}) = \int_{-\infty}^{\infty} dk_{\parallel} E(k_{\parallel}) = 2 \int_0^{\infty} dk_{\parallel} E(k_{\parallel})$

b. Thus  $E(k_{\parallel}) = \frac{1}{2} E(k_{\perp}) / \left( \frac{dk_{\parallel}}{dk_{\perp}} \right)$

2. Boldyrev:  $E_{k_{\perp}} \propto k_{\perp}^{-3/2}$ ,  $k_{\parallel} \propto k_{\perp}^{1/2}$

a.  $\frac{dk_{\parallel}}{dk_{\perp}} \propto \frac{1}{2} k_{\perp}^{-1/2}$

b. Thus  $E(k_{\parallel}) \propto \frac{\frac{1}{2} k_{\perp}^{-3/2}}{\frac{1}{2} k_{\perp}^{-1/2}} \propto k_{\perp}^{-1} \propto k_{\parallel}^{-2}$

So  $E(k_{\parallel}) \propto k_{\parallel}^{-2}$

Boldyrev 1-D parallel spectrum

3. GS95:  $E_{k_{\perp}} \propto k_{\perp}^{-5/3}$ ,  $k_{\parallel} \propto k_{\perp}^{2/3}$

a.  $\frac{dk_{\parallel}}{dk_{\perp}} = \frac{2}{3} k_{\perp}^{-1/3}$

b.  $E(k_{\parallel}) \propto \frac{\frac{1}{2} k_{\perp}^{-5/3}}{\frac{2}{3} k_{\perp}^{-1/3}} \propto \frac{1}{3} k_{\perp}^{-4/3} \propto k_{\parallel}^{-2}$

So  $E(k_{\parallel}) \propto k_{\parallel}^{-2}$

GS95 1-D parallel spectrum.

## F. Physical Difference between GS95 and Boldyrev

1. Consider Critical Balance

$$k_{\parallel} v_A \sim k_{\perp} v_k \Theta_k$$

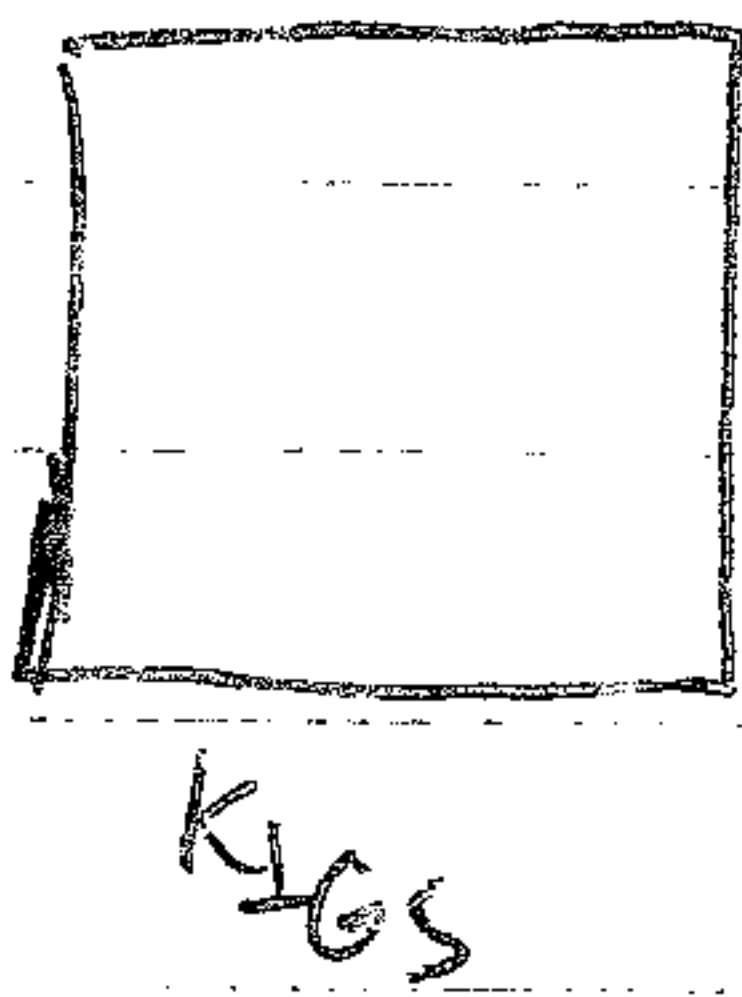
where  $\Theta_k < 1$

a. This factor weakens NL interactions requiring a higher value of  $k_{\perp}$  to achieve critical balance (due to dynamic alignment).

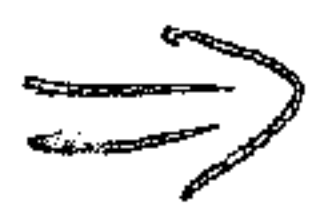
b. But  $k_{\perp}$  is a geometrical argument based on  $\delta B_{\perp}$  &  $B_0$ , so does not change.

2. Thus, it is dynamic alignment that leads to a thinning of the ~~current~~ turbulent structures in the direction of  $\underline{k}_\perp$ .

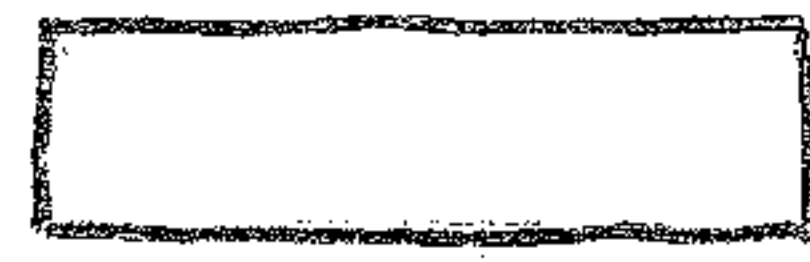
GS95



$k_{\perp GS}$

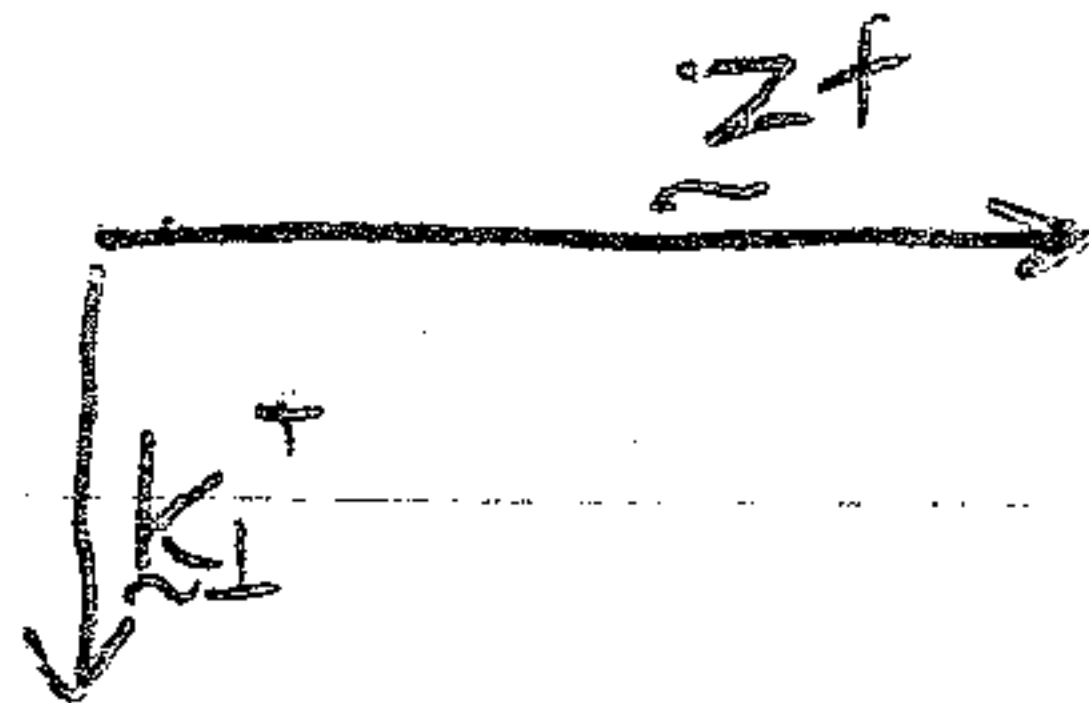


Boldyreva



$k_{\perp B} = k_{\perp GS}$

$k_{\perp B} > k_{\perp GS}$   
( $k_{\perp B} < k_{\perp GS}$ )



### III. References:

1. Boldyreva, S. (2006) Physical Review Letters, 96, 115002
  - a. Base reference describing the theory and justifying physical arguments.
2. Boldyreva, S. (2005) ApJ Letters 626, L37-L40.
  - a. Early version of the theory, with a subtly different geometry (uses II. B.3. as the basis, rather than II. B.2. as used in the 2006 paper). Not such compelling physical arguments.